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# Tropical storm-induced near-inertial internal waves during the Cirene experiment: energy fluxes and impact on vertical mixing

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Submitted to *Journal of Geophysical Research*, 9<sup>th</sup> January 2012

Revised version, 18<sup>th</sup> June 2012

Second revision 22<sup>nd</sup> November 2012

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30

### *Abstract*

31       Near-inertial Internal Waves (NIW) excited by storms and cyclones play an essential role  
32 in driving turbulent mixing in the thermocline and interior ocean. Storm-induced mixing may  
33 be climatically relevant in regions like the thermocline ridge in the southwestern Indian  
34 Ocean, where a shallow thermocline and strong high frequency wind activity enhance the  
35 impact of internal gravity wave-induced mixing on sea surface temperature. The Cirene  
36 research cruise in early 2007 collected ship-borne and mooring vertical profiles in this region  
37 under the effect of a developing tropical cyclone. In this paper, we characterize the NIW field  
38 and the impact of these waves on turbulent mixing in the upper ocean. NIW packets were  
39 identified down to 1000 m, the maximum depth of the measurements. We estimated a NIW  
40 vertical energy flux of up to  $2.5 \text{ mW m}^{-2}$  within the pycnocline, which represents about 10%  
41 of the maximum local wind power input. A non-negligible fraction of the wind power input is  
42 hence potentially available for subsurface mixing. The impact of mixing by internal waves on  
43 the upper ocean heat budget was estimated from a fine-scale mixing parameterization. During  
44 the first leg of the cruise (characterized by little NIW activity), the average heating rate due to  
45 mixing was  $\sim 0.06^\circ\text{C month}^{-1}$  in the thermocline ( $23\text{-}24 \text{ kg m}^{-3}$  isopycnals). During the second  
46 leg, characterized by strong NIW energy in the thermocline and below, this heating rate  
47 increased to  $0.42^\circ\text{C month}^{-1}$ , indicative of increased shear instability along near inertial wave  
48 energy pathways.

49

## 50 **1. Introduction**

51 Internal wave breaking is one of the main processes inducing turbulent mixing in the  
52 stratified ocean. The importance of this process for determining large-scale patterns of ocean  
53 circulation (like the meridional overturning circulation) has been highlighted in numerous  
54 studies [e.g. *Marotzke & Scott, 1999; Wunsch & Ferrari, 2004*]. There is also evidence that  
55 near-surface mixing can have a considerable climatic influence in regions where the sea  
56 surface temperature is high and sustains deep atmospheric convection e.g. [*Koch-Larrouy et*  
57 *al, 2008*]. This underlines the requirement for a more comprehensive understanding of energy  
58 pathways from large scales to mixing scales and especially of the lifecycle of internal waves  
59 from generation to breaking.

60 The two main sources of internal gravity waves are tides and high frequency  
61 atmospheric forcing [*Munk & Wunsch, 1998; Wunsch, 1998*]. The strong wind and wind  
62 vector rotation associated with tropical cyclones induces an energetic inertial current  
63 response. To the right of the cyclone track (in the northern hemisphere), this results in intense  
64 kinetic energy generation in the upper ocean, because wind stress vectors rotate in the same  
65 direction as storm-generated oceanic currents, maximizing power input to the surface ocean  
66 e.g. [*Price, 1981*] and wind stress is larger on the right side of the storm track, partly due to  
67 the storm translation speed [*Chang and Anthes, 1978*]. In the Southern Hemisphere, strong  
68 inertial currents and enhanced mixing occur on the left side of the storm track. A large  
69 fraction of this kinetic energy is consumed by vertically mixing warm of surface water down  
70 into the thermocline [*Jaimés & Shay, 2009*]. But a fraction of this energy input radiates down  
71 in the interior ocean under the form of Near-inertial Internal Waves (hereafter NIW). There is  
72 a need to evaluate the fraction of the cyclone-generated kinetic energy that penetrates in the  
73 interior ocean. This is important for understanding the cyclone response (the cyclone induced  
74 surface cooling will be more intense if most of the energy is consumed locally), and for the  
75 general circulation (the radiated internal gravity wave will break elsewhere, contributing to  
76 turbulent mixing in the interior ocean).

77 Many studies have used the power input from the wind to surface currents to obtain an  
78 upper bound of the power available for NIW [e.g. *Alford, 2001, 2003; Watanabe & Hibiya,*  
79 *2002, Von Storch et al 2007, Furuichi et al 2008*]. Detailed analyses of NIW observations  
80 provide estimates of energy fluxes [*Hebert et al, 1992; Qi et al, 1995; Alford et al 2001;*  
81 *Bouruet-Aubertot et al, 2005, Jaimés & Shay 2010*] but these energy fluxes are seldom  
82 compared to the wind power input at near-inertial frequencies. A few studies however provide

83 an estimate of the fraction of energy that propagates at depth. *Von Storch et al* [2007] found  
84 that about 30% of the wind generated power penetrates below 110m depth using a  $1/10^\circ$   
85 ocean general circulation model. *Furuichi et al.* [2008] show that a large fraction of the NIW  
86 energy is concentrated in high vertical modes with maximum amplitude in the upper 150m,  
87 while only 13 to 25% of the wind power input penetrates below 150 meters. A similar fraction  
88 was found by *Zhai et al* [2009] in  $1/12^\circ$  model simulations.

89 The southwestern tropical Indian Ocean is a region of particular interest for studies of  
90 NIW-induced mixing. First, it is an area that encompasses an ascending branch of the  
91 thermohaline circulation, which is largely driven by mixing [*Broecker*, 1991]. Second, the  
92  $5^\circ\text{S}$ - $10^\circ\text{S}$  band of the Indian ocean is a region where a shallow thermocline, driven by  
93 climatological Ekman pumping [*McCreary et al.* 1993], co-exists with high Sea Surface  
94 Temperatures (hereafter, SST), close to the threshold for development of deep atmospheric  
95 convection in winter. The SST responds readily to atmospheric forcing because of the shallow  
96 thermocline, while moderate SST anomalies can feedback on the atmospheric circulation by  
97 modulating the deep atmospheric convection. Such a situation is conducive to strong ocean-  
98 atmosphere coupling as pointed out by *Xie et al.* [2002] and *Schott et al.* [2009].

99 The ocean and atmosphere co-vary on a variety of timescales in this region [*Vialard et*  
100 *al.* 2009]. At time scales of a few days, this is a region of cyclogenesis. The Madden-Julian  
101 Oscillation is an intraseasonal, large-scale perturbation of the deep atmospheric convection  
102 that generally develops in the Indian Ocean, before propagating eastward into the Pacific  
103 Ocean [*Zhang* 2005]. The Madden-Julian Oscillation has a clear intraseasonal surface  
104 signature in the Southwestern Indian Ocean [e.g. *Vialard et al.*, 2008]. At interannual  
105 timescale the Indian Ocean Dipole is a large-scale climate anomaly analogous to the El Niño  
106 phenomenon in the Pacific [e.g. *Saji et al.* 1999, *Webster et al.* 1999] with a prominent  
107 subsurface signature in the  $5^\circ\text{S}$ - $10^\circ\text{S}$  band [*Vialard et al.* 2009].

108 Vertical mixing plays a significant role in the upper-ocean variability on all these  
109 timescales. The surface temperature response to cyclones is for example known to be largely  
110 the result of enhanced mixing associated with the near-inertial response to cyclone winds  
111 [*Price*, 1981]. Several studies also suggest that mixing and upwelling contribute more  
112 modestly, but in a non-negligible way, to the SST signature of the Madden-Julian Oscillation  
113 [*Lloyd and Vecchi*, 2010; *Jayakumar et al.*, 2011]. Finally, there is a clear contribution of  
114 vertical mixing to the seasonal evolution of the upper ocean in this region [*Foltz et al.* 2010].

115           There is thus a need to better diagnose vertical mixing induced by the near-inertial  
116 ocean response in this region. The Cirene research cruise [*Vialard et al.* 2009] enabled  
117 collection of in situ atmospheric and oceanic observations in this region (see figure 1a for the  
118 location of the cruise) during more than one month in January-February 2007. This region is  
119 characterized by strong wind variations in the near-inertial frequency range associated with  
120 tropical storms, synoptic variations and meso-scale atmospheric convection (see figure 1b). In  
121 particular, a tropical storm developed in the vicinity of the Cirene station at 8°S, 67°E on  
122 January 27 and later became tropical cyclone Dora on February 2 at about 17°S (see figure 2).

123           In this paper, we use observations from the Cirene experiment to characterize NIW  
124 variability excited by this storm, and the impact of wave breaking on vertical turbulent  
125 diffusion. One of our objectives is to estimate the ratio of the wind power input that  
126 penetrated below the thermocline and became available for interior ocean mixing. The paper  
127 is organized as follows. In section 2, we describe the Cirene measurements and give an  
128 overview of the meteorological conditions and upper ocean response to the passage of Dora.  
129 Section 3 is devoted to the analysis of NIW. Different wave groups are identified down to  
130 1000m and their energy fluxes are estimated. Estimates of kinetic energy dissipation rates and  
131 vertical eddy diffusivity are presented in section 4. Section 5 provides discussion and  
132 conclusions.

## 133           **2. Meteorological conditions and upper ocean response**

### 134           **2.1 Observations**

135           The Cirene cruise and observations are described in detail in *Vialard et al.* [2009] and  
136 the associated supplementary information. The Cirene cruise was comprised of two legs, with  
137 each leg involving a station near 8°S, 67°30'E of 10-12 days duration (January 14 to January  
138 26 and February 4 to February 15). The interval between the two legs was necessary for  
139 refueling and supply purposes, and by chance coincided with the formation of tropical storm  
140 Dora. A highly instrumented ATLAS (Autonomous Temperature Line Acquisition System)  
141 mooring was deployed at 8°S, 67°E (position referred hereafter as “ATLAS Mooring”) at the  
142 beginning of the first leg, within the framework of the Research Moored Array for the  
143 African–Asian–Australian Monsoon Analysis and Prediction program (RAMA; *McPhaden et*  
144 *al.* [2009]). This mooring was recovered and then redeployed with lighter instrumentation at  
145 the end of the second leg. We summarize the ship-borne and mooring observations that we  
146 use below.

147 1) Lowered Acoustic Doppler Current profiler (LADCP) and Conductivity Temperature  
148 Depth (CTD) casts were performed from the R/V Suroît near 8°S, 67°30'E (position referred  
149 hereafter as "FP station" for Fixed Point station) during the two legs of the Cirene cruise.  
150 CTD measurements were performed roughly every 20 minutes down to 500 m, while CTD-  
151 LADCP profiles were conducted down to 1000m roughly every 6 hours. Post processed CTD  
152 data have 1m vertical resolution, while post processed LADCP data provide horizontal  
153 currents with 8-m vertical resolution.

154 2) The mooring measurements cover the January 13 to February 15 period. The ATLAS  
155 buoy deployed at 7°57'S, 67°02'E (hereafter referred to by its nominal position of 8°S, 67°E,  
156 Figure 1) measured subsurface temperature and salinity, as well as air temperature, relative  
157 humidity, wind velocity, downward shortwave and longwave radiation, barometric pressure  
158 and precipitation. Ocean temperatures were measured at 1, 5, 10, 20, 40, 60, 80, 100, 120,  
159 140, 180, 300 and 500 m (every 10 minutes) and salinity at 1, 5, 10, 20, 30, 40, 50, 60, 80,  
160 100 and 140 m (every 10 minutes, then smoothed to 1 hour averages to reduce noise).  
161 Meteorological measurements were measured 3-4 m above sea level and stored every 10-  
162 minutes, with the exception of barometric pressure which was measured once per hour. A 300  
163 kHz Acoustic Doppler Current Profile (ADCP) was deployed on a subsurface mooring 9200  
164 meters away from the ATLAS mooring, at 8°01'S, 66°59'E. This subsurface ADCP provided  
165 horizontal current velocities at hourly resolution and at 4m vertical resolution between 20 and  
166 180 m depth.

167 Net heat fluxes and wind stresses were estimated from 10-minute ATLAS data using the  
168 COARE v3 algorithm [Fairall *et al.* 2003]. The mixed layer depth  $h$  at the ATLAS mooring  
169 site was estimated as the depth for which  $\rho(h) = \rho(5m) + 0.015\text{kg m}^{-3}$ , by assuming a linear  
170 stratification between two measurements. This choice allows for direct estimation of the  
171 nighttime mixed layer and filters out the diurnal cycle during period of low winds. Because  
172 the ATLAS mooring density measurements have a limited vertical resolution (generally 10  
173 m), we have compared daily averages of the mixed layer depth estimates at the ATLAS  
174 mooring with simultaneously available daily averages from the FP station CTD (which has a  
175 1m vertical resolution after processing): there is a 0.98 correlation, a 60 cm bias and a 1.2 m  
176 rms-error on daily average MLD estimates from the two data sources. This indicates that the  
177 ATLAS mooring mixed layer depth estimates can be used confidently.

178 We use other datasets to provide a large-scale picture of the signals associated with  
179 cyclone Dora. For SST, we use optimally interpolated data from the Tropical Rainfall  
180 Measurement Mission Microwave Instrument (TMI) and Advanced Microwave Scanning

181 Radiometer for EOS (AMSR-E) produced by Remote Sensing Systems. AMSR-E is  
182 particularly interesting owing to its ability to see through clouds and so to monitor surface  
183 cooling associated with a cyclone. The AMSR-E product is available with a daily resolution  
184 on a 0.25° grid. For winds, we use gridded estimates of 10-m winds from the QuikSCAT  
185 scatterometer produced at Centre ERS d'Archivage et de Traitement (CERSAT, *Bentamy et*  
186 *al. 2003*). This product is available with daily resolution on a 0.5° grid. It should be noted that  
187 this gridded product does not resolve the very strong winds associated with the eyewall of a  
188 fully developed cyclone, but it gives a reasonable estimate of the large-scale structure in the  
189 early stage of the storm development as we observed during Cirene. We use the Dora  
190 trajectory, maximum wind intensity and radius of maximum winds provided by the  
191 International Best Track Archive for climate Stewardship (IBTrACS) project [*Knapp et al.*  
192 *2010*] and by the Météo France Regional Specialized Meteorological Center in La Réunion  
193 island. The Ocean Surface Current Analysis in Realtime (OSCAR) product [*Bonjean and*  
194 *Lagerloef, 2002*] is also used to provide an estimate of surface vorticity at the measurement  
195 site. Comparisons between OSCAR and 5-day averaged ADCP near-surface currents at the  
196 ATLAS site indicate a 0.81 correlation for both zonal and meridional components over the  
197 January 2007-December 2009 period, indicating that OSCAR current estimates are reasonable  
198 for this location.

## 199 **2.2 Climatic and meteorological conditions**

### 200 **a) Large scale conditions**

201 Interannual anomalies were characterized by an anomalously warm and fresh upper ocean  
202 and deep thermocline (~80 m instead of 40 m value in the World Ocean ATLAS 2009  
203 climatology, Locarnini et al. 2010) at 8°S, 67°E during the cruise. There was an Indian Ocean  
204 Dipole in 2006 [*Vinayachandran et al, 2007*] that highly influenced the oceanic state at the  
205 Cirene location in early 2007 [*Vialard et al. 2009*]. At intraseasonal timescales, the  
206 meteorological conditions were dominated by a break phase of the Madden Julian Oscillation  
207 during most of the cruise, i.e. low winds and high solar heat fluxes [*Vialard et al., 2009*].

208 These low wind conditions were disturbed by the formation of a tropical depression that  
209 later became named storm Dora. Figure 2 provides a synoptic view of the satellite-derived  
210 wind and SST in the cruise region, and the main characteristics of Dora (maximum winds,  
211 radius of maximum winds and translation speed). A westerly wind burst developed on  
212 January 25, breaking the low wind conditions that prevailed until then. This was the prelude

213 to the tropical depression that formed around January 26, with a clear development of  
214 cyclonic winds around the cruise site. The depression came closest to the mooring site at the  
215 end of January 27 (figure 2b), with still relatively low maximum winds (around 10 to 12  $\text{ms}^{-1}$ ,  
216 figure 2e). The depression reached the tropical storm stage (maximum winds of  $\sim 17 \text{ms}^{-1}$ )  
217 and a clear eye of  $\sim 30 \text{ km}$  formed early on the 29<sup>th</sup> of January, while the storm was already  
218 300 to 400 km south of the mooring (figures 2c and 2g). Dora reached the cyclone stage  
219 (maximum winds of  $\sim 33 \text{ms}^{-1}$ ) on February 1 at about 17°S with maximum winds on  
220 February 3 near 20°S (figures 2cde). The mooring site stayed under the influence of relatively  
221 strong winds associated with the storm large-scale structure from the 25<sup>th</sup> of January to the 3<sup>rd</sup>  
222 of February, as clearly shown on figure 2. During the entire period, Dora moved relatively  
223 slowly, with translation speed in the 2 to 4  $\text{ms}^{-1}$  range though it later accelerated significantly  
224 much further south, on the 9<sup>th</sup> of February (figure 2g).

225 The very high SST values which were present before the cyclone (see figure 2a)  
226 progressively disappeared under the influence of strong winds (figures 2bc). A strong cooling  
227 on the left of the cyclone track can be seen on figure 2d. This is characteristic of increased  
228 mixing driven by tropical storms [Price, 1981, Shay *et al.* 1989].

## 229 **b) Local conditions at 8°S, 67°E**

230 Meteorological measurements from the ATLAS buoy are displayed in Figure 3. Time  
231 series of atmospheric forcing are very similar at the R/V Suroît site, which is expected  
232 considering the relatively small 55 km distance between the two sites. The Cirene cruise in  
233 general was characterized by a “break” phase of the Madden-Julian Oscillation, i.e. the  
234 absence of large-scale convection, and hence no clouds, and weak winds. Three distinct  
235 periods however characterize the atmospheric forcing. During the first period (January 13-  
236 January 23, hereafter, the “pre-cyclone period” that we will also use for leg1, since they  
237 almost coincide), calm conditions characteristic of the break phase of the Madden-Julian  
238 oscillation prevailed with wind velocity  $< 5 \text{m s}^{-1}$ , daily averaged air temperature between  
239 28°C and 29° C, strong day-time solar radiation and almost no rainfall.

240 The second period (January 24-February 2, hereafter the “cyclone period”) is dominated by  
241 the influence of Dora (as very clearly indicated by the wind patterns on figures 2cd). The  
242 signature of Dora is clear in all meteorological variables. The pressure record (figure 3b) at  
243 the mooring site clearly shows the passage of the depression over the site on January 27,  
244 coinciding with weak winds within the eye of the depression (figures 2b and 3a). The wind  
245 intensity increased rapidly up to 10  $\text{m s}^{-1}$  on January 25 and again on January 28 after the



246 passage of the eye. Daily mean air temperature dropped by about 2°C and the daily mean heat  
247 fluxes decreased to negative values associated with strong winds in the eyewall. Most of the  
248 rainfall during the observation period was associated with the storm passage with about 300  
249 mm in 5 days. Around the 2<sup>nd</sup> of February, the cyclone moved away (figure 2), but intensified  
250 (figure 2e) and was still associated with winds above 7 ms<sup>-1</sup> at the mooring site (figure 3a).  
251 The relatively long-lasting period of strong winds (January 24 to February 2) is due to the  
252 consecutive influence of the close but still weak tropical depression, followed by the remote  
253 influence of the intensifying storm and cyclone as it travel south.

254 The third period (hereafter “post cyclone period”, which we will also use for leg2 since the  
255 two periods almost coincide) extended from February 1 to February 11 and showed the  
256 progressive return to calm conditions characteristic of a Madden-Julian Oscillation break  
257 phase as Dora moved away. Rainfall almost ceased and net heat flux and pressure came back  
258 to values comparable to the first period. It was only at the end of the observation that  
259 disturbed conditions developed again in association with a tropical depression (future cyclone  
260 Favio) and the onset of an active Madden-Julian Oscillation phase [Vialard *et al.* 2009].

## 261 **2.3 Upper ocean response**

### 262 **a. Thermal and haline response**

263 Fig.4 shows clearly the strong surface layer signature of Dora’s passage. During the pre-  
264 cyclone period, calm conditions favored a warm surface layer with temperature over 29°C  
265 and a strong diurnal cycle with 1-2°C SST fluctuations at 1m depth [Vialard *et al.*, 2009]. A  
266 strong pycnocline was found around 80 m depth resulting in a maximum of 0.025 rad s<sup>-1</sup> for  
267 the Brunt Vaisala profile averaged over the leg1 (Fig.4f).

268 Salinity in the surface layer varied only moderately under the influence of moderate wind  
269 events (5ms<sup>-1</sup>) and precipitation (Fig.3). A striking feature of the salinity plot is an intrusion  
270 layer observed at 100m depth starting on January 19 (Fig.4d). However, this salinity intrusion  
271 had only a weak influence on the density, which was mainly driven by temperature.

272 During the pre-cyclone period, the mixed layer was quite shallow (Fig. 4), only reaching a  
273 maximum of 20 m depth in response to moderate wind events. During the cyclone period,  
274 Dora had a clear influence on surface layer characteristics. Strong wind stress (0.2 N.m<sup>-2</sup>)  
275 (Fig.4a) resulted in a deepening of the mixed layer up to 35 m depth from about January 23 to  
276 29. The mixed layer deepening occurred roughly 3-4 days after the first wind burst (i.e. after  
277 about one inertial period, which is 3.6 days at 8°S) and is probably indicative of the effect of

278 turbulent wind erosion followed by near-inertial shear instability. As a result of stronger  
279 winds, the diurnal cycle of temperature at 1 and 5 meters was suppressed. Intense mixing near  
280 the surface resulted in a clear decrease of the stratification in the top 40 m during the cyclone  
281 period (Fig. 4f). Salinity decreased over a gradually thickening layer from January 26 to  
282 February 2, in association with the strong rainfall associated with Dora. The pycnocline depth  
283 decreased from 80m to 70m around the January 27. This shoaling of the pycnocline depth is  
284 probably the result of a transient upwelling response within a distance of about twice the  
285 radius of maximum winds [*O'Brien and Reid 1967*]. Scatterometer wind stresses (Bentamy et  
286 al. 2002, not shown) seem to support that hypothesis. Note that when removing low-  
287 frequency trends from the mixed layer variations, a clear mixed layer depth oscillation at  
288 near-inertial period appears around January 28 (1 inertial period after the beginning of the  
289 cyclone phase) and is observed until February 11 (5 inertial periods after the beginning of the  
290 cyclone phase), while oscillations observed before January 28 are sub-inertial (Figure 4e).  
291 This observation is probably indicative of inertial pumping [Gill, 1984] superimposed on the  
292 near-inertial shear instability-driven mixed layer deepening. After February 2 (during the  
293 post-cyclone period), the wind stress started to decrease as cyclone Dora moved away. This  
294 decrease was associated with a restratification of the upper layer, a shallower mixed layer, and  
295 pycnocline that progressively deepened to 80 m depth. However the top 40 m remained well  
296 mixed compared to the pre-cyclone period (Fig.4f).

## 297 **b. Current response**

298 Since 8°S is a transition region between the eastward South Equatorial Counter-current and  
299 westward South Equatorial Current, mean currents are normally expected to be quite weak.  
300 However, during Cirene, a mean westward component ( $32 \text{ cm s}^{-1}$ ) with a weak southward  
301 component was observed due to strong geostrophic current anomalies associated with the  
302 aftermath of the 2006 Indian Ocean Dipole event [*Vialard et al. 2009*].

303 Our interest here is more in high frequency fluctuations of the current, which are obvious  
304 from the meridional velocity time-depth section displayed in figure 5. The most striking  
305 feature is the presence of inertial waves in the mixed layer and NIW below, characterized by  
306 strong velocity fluctuations ( $\pm 40 \text{ cm s}^{-1}$  in the pycnocline and in the surface layer). Similar  
307 fluctuations are observed on the zonal velocity component (not shown).

308 This near-surface inertial response appears around January 25 in response to the strong  
309 wind stresses associated with the passage of Dora. The near-surface inertial response only  
310 lasts for a few days after the intense wind stresses, and has largely disappeared by February 6.

311 This near-surface response, however, drives vertical motions of the pycnocline by inertial  
312 pumping [Gill 1984 and figure 4e], hence progressively transferring energy to the interior  
313 ocean by exciting NIW. There are signs of upward phase propagation of the velocity  
314 fluctuations associated with NIW below 40 m depth in both ADCP and LADCP signals,  
315 indicative of downward energy propagation. The upward phase propagation is less obvious on  
316 the LADCP record due to the lower  $\sim 6$  hour time resolution, maybe because of aliasing by the  
317 tidal signal which is also strong (the sampling interval is  $1/14^{\text{th}}$  inertial period, which should  
318 resolve the NIW signal). We will show this more convincingly on figure 7. NIW current  
319 fluctuations are clearly seen down to  $\sim 200\text{m}$  in the ADCP record (with  $\sim 0.15 \text{ m s}^{-1}$   
320 fluctuations at this depth). Similar current fluctuations can be seen down to  $1000\text{m}$  in the  
321 LADCP record (Fig. 5b).

322 We will show in section 3 that the NIW packets have typical horizontal wavelength of at  
323 least  $300 \text{ km}$ . Therefore considering the  $50 \text{ km}$  separation between the ATLAS (and ADCP)  
324 moorings and the FP station, we can assume that measurements at those locations sample the  
325 same wave.

### 326 **3. Near-inertial internal waves: characteristics and energy** 327 **fluxes**

#### 328 **3.1 Spectral analysis**

329 In order to characterize the frequency content of the internal wave field, power spectra  
330 density (PSD) of horizontal currents were computed every  $4\text{m}$  at depths ranging from  $22 \text{ m}$  to  
331  $162 \text{ m}$  at the ATLAS mooring and every  $8\text{m}$  from  $0$  to  $1000 \text{ m}$  at the FP station. Weighted  
332 ensemble averages of the spectra within  $20\text{m}$  vertical bins were then performed to reduce  
333 uncertainties. The spectra were computed over the whole record length, i.e.  $32.75$  days at the  
334 ATLAS mooring,  $11.5$  days (pre cyclone period) and  $11.28$  days (post cyclone period) at the  
335 FP station (Fig.6).

336 The overall shape of the power spectrum agrees well with semi-empirical *Garret and*  
337 *Munk* (GM hereafter) spectrum as modified by *Cairns and Williams* [1976] for frequencies  
338 higher than the inertial frequency  $f$ , and shows an energy level comparable to the canonical  
339 GM level.

340 The power spectrum is marked by one broad peak close to the inertial frequency, but also  
341 by two sharp peaks at daily and near semidiurnal periods. These two peaks are associated with

342 internal tides whose analysis will be detailed in *Cuyppers et al.* [in prep]. We focus here on the  
 343 peak at the inertial frequency.

344 The energy content in the inertial frequency band strongly differs between the two periods.  
 345 While the inertial peak is strongly marked at most depths during the post-cyclone period, it is  
 346 not clearly apparent during the pre-cyclone period, especially below 200m depth where it is  
 347 hardly distinguishable (Fig 6a and b). This result is in agreement with the general  
 348 observations of the previous section, indicating that NIW were generated during the passage  
 349 of Dora.

350 NIW are clearly seen at most depths during the post-cyclone period. There is however no  
 351 noticeable peak at near-inertial frequency at depths of 520 m and 850m. This suggests the  
 352 presence of several distinct wave groups, which will be characterized in the next sections.

353 The spectral peak is centered at the inertial frequency  $f$  at 8°S ( $f \pm 0.05f$  for ADCP and  
 354  $f \pm 0.15f$  for LADCP) in the upper 70 m and at a super-inertial frequency ( $1.2f \pm 0.05f$  for  
 355 ADCP and  $1.2f \pm 0.15f$  for LADCP) below. We will refer hereafter to  $\omega_0 = 1.2f$  as the  
 356 observed near-inertial frequency.

### 357 **3.2 Near inertial internal waves characteristics**

#### 358 **A Methods**

359 For linear internal waves of the form  $\exp(i(\omega_i t - k_x x - k_y y - m z))$ , where  $\omega_i$  is the  
 360 frequency,  $k_x$  and  $k_y$  the horizontal wavenumbers and  $m$  the vertical wavenumber, propagating  
 361 in an ocean with constant  $N^2$  stratification, the dispersion relationship reads:

$$362 \quad \omega_i^2 = f_{eff}^2 + N^2 k_h^2 / (k_h^2 + m^2) \quad (1)$$

363 Where  $f_{eff}$  is the effective inertial frequency, that takes into account the vertical vorticity  $\zeta$   
 364 of subinertial motions [Kunze, 1985],  $f_{eff} = f + \zeta/2$  with  $\zeta = \frac{\partial v}{\partial x} - \frac{\partial u}{\partial y}$  and  $k_h = \sqrt{k_x^2 + k_y^2}$ .

365 Such internal waves will propagate energy with a group velocity

$$366 \quad \begin{aligned} c_{gz} &= -(N^2 - f^2) \beta^3 / (k_h (1 + \beta^2)^{3/2} (f^2 + N^2 \beta^2)^{1/2}) \\ c_{gh} &= -\beta^{-1} c_{gz} \end{aligned} \quad (2)$$

with  $\beta = k_h / m$  the angle of propagation to the vertical.

367 In this section we explain how  $m$ ,  $f_{eff}$  and  $k_h$  can be estimated from the velocity data  
368 obtained from the LADCP and the ADCP mooring, and how the group velocity is eventually  
369 determined. Before explaining the details, we summarize the main steps of our method:

- 370 a) we analyze for velocity field data with upward phase propagation, which allows  
371 identification of wave groups and estimation of their vertical wavenumber  $m$ .
- 372 b) we then estimate the effective inertial frequency  $f_{eff}$  and the NIW intrinsic  
373 frequency  $f$  following the method proposed by Alford and Gregg [2001], using the  
374 ratio of near-inertial kinetic and potential energy to estimate those quantities
- 375 c) we can then determine the horizontal wave number  $k_h$  from the dispersion relation  
376 (1)
- 377 d) vertical ( $c_{gz}$ ) and horizontal ( $c_{gh}$ ) group velocities are finally obtained from (2).

378 While the last two steps (c and d) are straightforward, the first two steps require more  
379 detailed explanations, which are given below:

### 380 **a) Estimation of the vertical wavenumber**

381 The vertical wavenumber is estimated first. A classical way to extract NIW propagation  
382 based on the downward energy propagation is to select current component showing an  
383 anticyclonic rotation with depth [Leaman1976]. This decomposition is however limited to the  
384 current field and cannot be applied to a scalar field (e.g. density fluctuations), which prevents  
385 an accurate determination of the downward propagating energy. Instead we separate velocity  
386 fields  $\mathbf{U}(z,t)$  into  $\mathbf{U}_{up}(z,t)$  with upward phase propagation ( $m-\omega t$ ) and  $\mathbf{U}_{down}(z,t)$  with  
387 downward phase propagation ( $m+\omega t$ ) using a two dimensional Fourier filter. This  
388 decomposition is also applied later to density fluctuations for the computation of the  
389 downward energy flux (Section 3.3).

390 This result of this decomposition is represented on figure 7 for the meridional  
391 component of the LADCP velocity field. Time dependent amplitude and phase of near-inertial  
392 currents is estimated by applying a complex demodulation [Perkins, 1970] to  $\mathbf{U}_{up}(z,t)$  at the  
393 observed frequency  $\omega_0$  of the wave (cf section 3.1). The resulting phase profiles  $\Phi_{up}(z,t)$  (see  
394 figure 7a, or the snapshot in figure 8b) display segments with linear phase-depth relations,  
395 separated by abrupt phase changes. These phase breaks and the associated amplitude minima  
396 (Fig 7a, Fig 8ab) delimit distinct wave groups. The detection of the distinct wave groups was  
397 automated using a free-knot spline algorithm [Schütze and Schwetlick, 1997]. The vertical  
398 wave number  $m$  is estimated for each segment by fitting a linear relationship  $\Phi_{up}(z)=mz$  to the  
399 phase profile. Below the base of the pycnocline (120m depth), this fit is performed in WKB

400 stretched vertical coordinates, in order to account for refraction resulting from the slow  
 401 variation of  $N$  with depth (see for instance *Qi et al* [1995]). The WKB approximation is valid  
 402 when the variation of  $N$  over one vertical wavelength is slow enough to consider that  
 403 properties of the wave depend only on the local value of  $N$ . Between the base of the  
 404 pycnocline (120m depth) and the base of the mixed layer (30-40 m depth) the large variation  
 405 of  $N$  prevents the use of the WKB approximation, so instead we approximate there the  
 406 stratification  $N(z)$  over one section by its average over this section. Accordingly we fit the  
 407 phase profile in linear coordinates. Fits were rejected in both cases when the square  
 408 correlation coefficient between the fit and the phase profile was smaller than an arbitrary  
 409 threshold value of 0.8. Uncertainty in the  $m$  estimate was finally established from 95%  
 410 confidence intervals on the linear fit.

411 **b) Estimation of the intrinsic frequency**

412 The following step is the estimation of the intrinsic frequency  $\omega_i$  and effective inertial  
 413  $f_{eff}$ . In addition to the dispersion relation (1) two relations are used: the first relates the  
 414 intrinsic frequency of the wave to the observed frequency  $\omega_0$  through a Doppler shift by the  
 415 mean current:

416 
$$\omega_i = \omega_0 + |k_h| |\mathbf{U}| \cos(\theta - \alpha) \quad (3)$$

417 where  $|\mathbf{U}|$  is the mean current velocity and  $\alpha$  is the angle between the mean current  $\mathbf{U}$  and  
 418 latitude circles and  $\theta$  is the angle of propagation of NIWs in the horizontal plane. The second  
 419 relates the ratio  $r = \omega_i/f_{eff}$  to the near-inertial kinetic and potential energy as:

420 
$$r = \omega_i/f_{eff} = [(R+1)/(R-1)]^{1/2} \quad (4)$$

421 where  $R$  is the ratio of near-inertial kinetic energy to available near-inertial potential energy  
 422 (Fofonoff 1969, Alford and Gregg 2001). Combining (1), (3), and (4) the effective inertial  
 423 frequency becomes:

424 
$$f_{eff} = \omega_0 / [r + (m |\mathbf{U}| \cos(\theta - \alpha) / N) (r^2 - 1)^{1/2}]. \quad (5)$$

425 Determination of intrinsic frequency horizontal wave numbers and group velocities then  
 426 follows from (1), (2), and (4).

427 The observed frequency is determined from the spectral analysis (section 3.1) and the  
 428 vertical wavenumber  $m$  is known from previous step a), but several parameters of these  
 429 relationships have still to be determined from the experimental measurements.  $|\mathbf{U}|$  and  $\alpha$  are  
 430 estimated from an average over the entire leg2 LADCP record. The wavenumber orientation

431  $\theta$  is determined from polarization relationships by characterizing the phase lag between near-  
432 inertial upward propagating zonal velocity fluctuations and near-inertial upward propagating  
433 density fluctuations. A similar method was applied by *Alford and Gregg* [2001] using near-  
434 inertial shear and strain. Note that we estimate here this phase lag locally in time from the  
435 phase of the Morlet cross-wavelet transform [*Torrence & Compo* 1998] at the near-inertial  
436 period between zonal velocity and density. The angle  $\theta$  is a useful characterization of the  
437 wave group, since it gives indications about where the wave comes from. The kinetic and  
438 potential energy at near inertial periods are estimated as  $\frac{1}{2}\rho_0\left(\frac{g\rho_{up}'}{\rho_0}\right)^2$  and  $\frac{1}{2}\rho_0(u_{up}'^2 + v_{up}'^2)$ ,  
439 respectively,  $\rho_0$  a constant density set to  $1025\text{kg m}^{-3}$ ,  $\rho_{up}'$ ,  $u_{up}'$  and  $v_{up}'$  being the upward-  
440 propagating component of velocity and density fluctuations at near-inertial period (we used an  
441 elliptical filter [*Park* 1987] in a band  $[0.7\omega_o, 1.4\omega_o]$ ). The R ratio is further obtained from a  
442 running mean of those near-inertial potential and kinetic energy over one near-inertial  
443 observed period ( $2\pi/\omega_o$ ). Error bars for  $f_{eff}$ ,  $\omega_l$  and the group velocities were estimated from  
444 both the 95% confidence interval on the wavenumber  $m$  and the spectral resolution (0.05f for  
445 the ATLAS mooring and 0.15f for the LADCP data).

446 A side product of this computation is also an estimation of the background vorticity as  
447  $\zeta/2=f-f_{eff}$ . In order to see if our approach is valid, we have tried to compare the values  
448 obtained by the method above to independent background surface vorticity values obtained  
449 from OSCAR surface currents (Fig.9). The effective inertial frequency found from FP station  
450 measurements in the surface layer is slightly sub-inertial (of the order of  $0.95f$ ) for most of the  
451 second leg period (Fig 7, Table 1). This suggests that the upper layer surface background  
452 vorticity field is mostly slightly anticyclonic  $\zeta\sim-0.05f$ . These estimates are consistent with the  
453 large-scale ( $1^\circ$  resolution) and sub-inertial (5 day resolution) surface vorticity estimates  
454 inferred from OSCAR data (Fig.9). Those data suggest a negative background vorticity in the  
455 Cirene area during the passage of Dora and the period of baroclinic wave generation (of the  
456 order of  $-0.03f$ ) for most of the second leg period, namely an effective inertial frequency of  
457 the order of  $0.97f$ . This shift is slightly smaller than our estimates, but still provides an  
458 independent consistency test of our approach.

## 459 **B Identified wave groups and their characteristics**

460 Figure 7 shows the upward and downward propagating components of the meridional  
461 velocity from the Fixed Point station. As expected, the upward phase component of near-  
462 inertial velocity signal is dominant (Fig 7ab, Fig 8a). Evidence of NIW with downward group

463 velocity can be found down to 1000m with significant amplitude of  $\sim 10 \text{ cm s}^{-1}$  (Figure 7a).  
464 This suggests that a significant fraction of NIW energy generated in the surface layer is  
465 potentially available for mixing at depth.

466 Examination of Fig.7 also suggests propagation of several distinct wave groups. At the  
467 beginning of the post cyclone period, as many as five wave groups can be identified, the first  
468 one (WG1), generated after Dora's passage over the FP station and ATLAS mooring area,  
469 propagates from the base of the pycnocline down to 500 m depth, whereas a second less  
470 energetic wave group (WG2) appears at roughly 250 m depth, and propagates downward.  
471 These two wave groups seem to merge around February 10 where their phase becomes  
472 indistinguishable below 300 m depth. A third (WG3) and fourth (WG4) wave group  
473 propagate respectively from 500m to 700 m depth and from 680 m to 850 m depth. Finally a  
474 last wave group (WG5) can be identified propagating from 850 m to 1000 m depth, and can  
475 be tracked until February 9 when it extends below the depth of the LADCP data.

476 To better illustrate those wave groups and check the consistency of our vertical wave  
477 group estimate, we have computed near-inertial ray trajectories  $z(t)$  on the depth-time  
478 space as:

$$479 \quad z(t) = z(t_0) + \int_{t_0}^t C_{gz}(t', z(t')) dt'$$

480 A few trajectories were superimposed on Fig.7 to delimit the deepening of the wave groups  
481 with time (for those trajectories, initial positions  $z(t_0)$  were chosen at the edges of regions  
482 with a linear phase change). Good agreement is found between the regions of extreme  
483 velocity fluctuations associated with the wave groups and the ray trajectories. The strong  
484 variation of  $c_{gz}$  in the pycnocline region (90 m depth) results in a divergence of ray beams  
485 generated there. This explains the widening of the first wave group with time as well as the  
486 splitting of this wave group that seems to be observed around 100m depth by the end of the  
487 post-cyclone period since a part of the energy remained trapped at the top of the pycnocline.

488 The wave groups can also be clearly identified on Fig.8a-b showing amplitude and phase  
489 of the near-inertial current at the beginning of the post-cyclone period (morning of February  
490 6, 3.7 inertial periods after the first wind burst). Indeed the separation between each wave  
491 group is associated with an amplitude minima and a phase break. .

492 The range of the characteristics of each wave group during the post-cyclone period is  
493 summarized in Table 1. The first wave group generated by Dora is characterized by a small  
494 vertical group velocity of a few  $\text{m day}^{-1}$  in the first 100 m depth. The associated horizontal



495 and vertical wavelengths are respectively in the range 250-500 km and 90-160 m. Good  
 496 agreement is found between the independent estimates at the ATLAS mooring and FP station  
 497 for these quantities. The relatively large spatial scale (250-500 km) of the first wave group  
 498 (WG1) is probably related to the spatial scale of the forcing itself. At this early stage of the  
 499 cyclogenesis, the eyewall (typically ~25 km to 50 km size) is not formed yet, and winds vary  
 500 at larger ~500 km spatial scale (Figure 2). A similar range of values were found for a NIW at  
 501 6°S in the Banda sea of Indonesia by *Alford & Gregg* [2001].

502 Noticeable features are also the relatively small vertical and horizontal wavelengths for the  
 503 waves in the third, fourth and fifth groups propagating below 500 m depth (Table1). This is  
 504 striking when the wavelengths of each wave group are corrected from the effect of diffraction  
 505 using WKB scaling: horizontal and vertical wavelength of WG3, 4 and 5 are then respectively  
 506 about ten times and five times smaller than horizontal and vertical wavelength of WG1. A low  
 507 vertical group velocity is found for these wave groups, which is consistent with (2) if a  
 508 constant propagation angle  $\beta$  to the vertical is assumed.

509 Once the characteristics of the wave packets determined, the vertical group velocity is  
 510 computed and energy fluxes derived (see 3.1)

### 511 **C. Vertical mode approach**

512 Vertically propagating internal waves can be described either as a sum of standing  
 513 vertical modes or as a ray beam [*Gerkema and Zimmerman* 2008]. Several theoretical,  
 514 experimental and numerical studies [*Pollard* 1970, *Gill* 1984, *Shay et al* 1989] have used  
 515 vertical mode decomposition to infer the rate of energy escaping the mixed layer under the  
 516 form of NIW. Here we have chosen the wave ray approach because most measurements are  
 517 limited to the first 1000m and projection on vertical modes cannot be achieved over the full  
 518 depth range. It is however possible to compute the vertical mode structures and eigenvalues  
 519 [*Gill* 1982] using the  $N^2$  profile corresponding to the averaged CTD profiles over the top 500  
 520 m during the legs and extended down to the bottom using the World Ocean Data Base 2009  
 521 climatology. The vertical modes structures of displacements  $W_n$  and phase speed  $c_n$  are the  
 522 eigenfunctions and eigenvalues of the Sturm-Liouville problem:

$$523 \quad \frac{d^2 W_n}{dz^2} + \frac{N^2}{c_n^2} W_n = 0$$

524 The vertical modes of velocity  $P_n$  can be obtained from the vertical derivative of  $W_n$ .  
 525 The first five vertical modes  $P_{1-5}$  are represented on Fig.10b and  $c_n$  values are reported on

526 Table 2. The rate of inertial energy escaping the mixed layer is set by the ratio of the storm  
 527 horizontal scale  $2R_{\max}$  to the Rossby radius for the first vertical mode,  $f/k_h c_1$ , where  $c_1$  is the  
 528 first eigenmode phase speed and  $k_h = \pi/(2R_{\max})$  is a horizontal wavenumber associated with  
 529 inertial currents in the mixed layer. For  $f/k_h c_1 \gg 1$  (large scale forcing typical of synoptic  
 530 disturbances), inertial currents remain trapped in the mixed layer; whereas for  $f/k_h c_1 \sim 1$  (small  
 531 scale forcing associated with hurricanes) energy is radiated from the mixed layer in a few  
 532 inertial periods [Gill, 1984].

533 On the 28<sup>th</sup> of February, the storm had not yet formed and the Météo France regional  
 534 center in La Réunion provided no storm radius estimate. At this stage, the winds were  
 535 however sufficiently weak to use QuikSCAT wind data to provide a rough estimate of  $R \sim 100$   
 536 km (figure 2bc). This leads to  $f/k_h c_1 \sim 0.49$ , suggesting a rapid transfer of energy below the  
 537 mixed layer. Following Gill [1984], and assuming that most of the energy is contained within  
 538 the gravest vertical modes [Shay et al 1989], we can compute an estimate of the typical time  
 539 for energy transfer below the mixed layer. This is given by  $t_n = \pi/(2(\omega_n - f))$ , where  
 540  $\omega_n = \sqrt{f^2 + k_h^2 c_n^2}$ . Table 3 gives the estimate of this timescale for the first five modes,  
 541 which is in the range of 0.2 to 2.5 inertial periods. This is in qualitative agreement with the  
 542 appearance of near-inertial currents in the pycnocline after 2 inertial periods (Fig. 5a), and  
 543 with the ray tracing showing the propagation of the NIW from the base of the mixed layer in  
 544 about the same time. This suggests that Gill [1984] vertical mode approach is consistent with  
 545 our results and that most of the energy of the first wave group generated by Dora is contained  
 546 within the gravest in agreement with previous observations by Shay et al [1989]. Deeper wave  
 547 groups (WG2-WG5) generate near-inertial current local maxima well below the pycnocline  
 548 (Fig. 8a). These deeper maxima are likely associated with higher vertical modes (mode 6-8) as  
 549 is shown in Fig. 10c.

### 550 **3.3 Energy fluxes**

551 An important outcome of this study is the estimation of the fraction of wind power input to  
 552 inertial motions that is transferred to the interior ocean by the energy flux of NIW. The  
 553 downward vertical flux can be computed from vertical group velocity as  $c_{gz} \langle E_{up} \rangle_{T_0}$

554 where  $E_{up} = \frac{1}{2} \rho_0 \left( \left( \frac{g \rho'_{up}}{\rho_0} \right)^2 + u'_{up}{}^2 + v'_{up}{}^2 \right)$  is the total energy of upward phase propagating

555 NIWs, computed as indicated in section 3.2.A. The horizontal energy fluxes moduli are  
556 likewise estimated as  $c_{gh}\langle E_{up} \rangle_{T_0}$  while its direction is given by the angle  $\theta$  (section 3.2A).

557 Figure 11 and 12 show vertical energy flux computed for LADCP and ADCP data  
558 respectively. Both show a local maximum in the pycnocline between 80 and 120 m depth  
559 resulting from Dora's passage with a maximum value of  $\sim 2.5 \text{ mW m}^{-2}$  is observed both at the  
560 FP station and at the ATLAS mooring. A broad maximum of the energy flux reaching  $2 \text{ mW}$   
561  $\text{m}^{-2}$ , is also observed between 270 m and 390 m depth, corresponding to the propagation of  
562 the second wave group and its merging with the first wave group by the end of the post-  
563 forcing period. At greater depth the fourth and fifth wave groups are associated with  
564 downward energy flux reaching respectively 1 and  $0.75 \text{ mW m}^{-2}$  at 750 m and 950 m,  
565 showing that a significant fraction of NIW energy flux can reach large depths. The horizontal  
566 energy fluxes is three orders of magnitude larger (which reflects the typical ratio between  
567 horizontal and vertical scales in the ocean), but show a similar vertical structure.

568 The direction of the horizontal energy flux also reflects the propagation direction of the  
569 NIW. The first wave group WG1 displays an average northward propagation at the depth of  
570 the pycnocline (90 m) at both FP station and at the ATLAS mooring during the post-cyclone  
571 period (Fig 11 b and 12 b). The ATLAS mooring data however suggest a southward  
572 propagation of WG1 during the cyclone period, when the wave group was still located at the  
573 base of the mixed layer between 20 and 60 m depth, suggesting the NIW was generated to the  
574 north of the mooring. The change in the direction of propagation of the wave between pre-  
575 cyclone and post-cyclone period may result from its reflection at its critical latitude since it  
576 was propagating southward where  $f$  increases. However as will be discussed in the last section  
577 wave propagation can be largely affected by the mesoscale vorticity field in the region. It is  
578 therefore difficult to extrapolate wave propagation in the region from the single point data  
579 available in this study and we leave a more precise quantification of this process for a future  
580 modeling study. At greater depths, the direction of propagation clearly changes (Figure 11b)  
581 depending for some wave groups. An average northeastward propagation is found for the  
582 second wave group, southeastward for the third wave group, northwestward for the fourth  
583 wave group and southeastward for the fifth wave group. We will further discuss the  
584 propagation of the wave groups in section 5.

585 It is interesting to compare the baroclinic energy flux associated with the first wave group  
586 with the wind power input as a kinetic energy per unit time in the mixed layer during Dora  
587 passage. As shown by Geisler [1970], the wind power input into the mixed layer associated

588 with a storm or a hurricane depends on the ratio between the first vertical mode phase velocity  
589  $c_1$  and the hurricane displacement velocity  $U_h$ . Geisler considers two regimes  $U_h > c_1$  for which  
590 the wind power input in the mixed layer per unit area  $P_i$  reads  $P_i = \frac{1}{2} \rho_0 U_h u_s^2$  (where  $u_s$  is the  
591 ageostrophic velocity modulus) and  $U_h < c_1$  for which  $P_i = \frac{1}{2} \rho_0 u_s^3$ . In the case of Dora,  $U_h \sim$   
592  $2$  to  $4 \text{ ms}^{-1}$  (Fig.2e) and  $c_1 = 2.7 \text{ ms}^{-1}$  therefore we are in a marginal case where  $U_h \sim c_1$  and we  
593 consider the values given by the two expressions. We estimate  $u_s$  at the mooring as the  
594 velocity in the mixed layer from which we subtract geostrophic velocity ( $U_g$ , estimated from  
595 a running average of the mixed layer velocity over one inertial period). This provides a large  
596 interval for maximum  $P_i$  of 30 to  $180 \text{ Wm}^{-2}$ . The maximum of  $P_i$  can be compared with the  
597 maximum horizontal NIW energy flux  $F_x$  ( $\sim$ total since  $C_{gx} \gg C_{gz}$ ) which reaches  $6 \text{ Wm}^{-2}$  at  
598 the FP station with a 95% confidence interval of  $[5-10] \text{ Wm}^{-2}$  and  $5 \text{ Wm}^{-2}$  at the mooring with  
599 a 95% confidence interval of 4 to  $6 \text{ Wm}^{-2}$ . Considering the uncertainty in those estimates,  
600 there is a substantial uncertainty on the  $F_x/P_i$  ratio, between 2 and 33%.

601 To complement the approach above, we also estimate the efficiency of the transfer to  
602 vertically propagating NIW from the ratio of the vertical NIW energy flux  $F_z$  to the wind  
603 work onto surface currents namely  $\tau \mathbf{u}_f$  (see for instance *Von Storch et al 2007, Furuichi et al*  
604 *2008*) where  $\mathbf{u}_f$  are inertial currents in the mixed layer estimated here as the ocean surface  
605 velocity filtered at the inertial frequency and  $\tau$  the wind stress derived here from the ATLAS  
606 mooring meteorological data. Note that the computation of the wind work onto inertial  
607 currents may not provide a good estimation of the wind power input for a fast moving cyclone  
608 for which the duration of the wind forcing is short compared to the setup of inertial currents.  
609 As explained before, the most intense wind forcing is quite long in the case discussed here (8  
610 days) and inertial currents are generated in the mixed layer within the wind forcing  
611 period (Fig.6), therefore we can expect that the wind work onto inertial currents will provide a  
612 reasonable alternative estimate of the local wind power input. The value of  $\tau \mathbf{u}_f$  can change  
613 sign depending on whether the wind works with or against inertial currents. Strongest maxima  
614 and minima of  $\tau \mathbf{u}_f$  are observed alternatively at the inertial period during 10 days starting  
615 from January 25 (Fig. 11a). A maximum positive power input of  $30 \text{ mW m}^{-2}$  is reached two  
616 times, first on January 28 (when Dora was closest to the mooring) and as second time on  
617 February 1 with a minimum of  $-35 \text{ mW m}^{-2}$  in between. It is difficult to provide a  
618 quantitatively precise estimate of the fraction of the energy input that penetrates to the deep  
619 ocean from observations at a single location. It is however interesting to note that the energy

620 flux at the pycnocline level of  $2.5 \text{ mW m}^{-2}$  (in the range  $[2-3.6] \text{ mW m}^{-2}$  considering the full  
621 confidence interval) is of the order of  $\sim 10\%$  of the maximum of the wind power input at the  
622 mooring location (Fig.13 b).

623 Both approach hence suggest that NIW contribute to an energy flux into the interior ocean  
624 which is of the order of  $1/10^{\text{th}}$  of the power input at the surface, although the uncertainty on  
625 this number is quite large (2 to 33%). We will compare this result with other studies in the  
626 discussion section.

## 627 **4. Estimates of energy dissipation and eddy diffusivity**

628 In this section, we will try to assess the influence of the NIW groups on vertical mixing  
629 below the mixed layer. Fig.14 shows the evolution of the vertical shear modulus

630  $S = \sqrt{\left(\frac{\Delta u}{\Delta z}\right)^2 + \left(\frac{\Delta v}{\Delta z}\right)^2}$  and the inverse of Richardson number  $Ri^{-1} = S^2 / N^2$  at the mooring.

631 The vertical structure of the shear associated with the first 5 baroclinic modes is also  
632 represented. The shear maxima occur in the thermocline around 70m depth on February 2  
633 and around 90m depth on February 9. The NIW ray tracing shows that these maxima clearly  
634 occur along the path of the NIW generated at the base of the mixed layer around January 25  
635 and January 30. The maximum shear on February-2 is associated with the 5<sup>th</sup> baroclinic mode,  
636 whereas the secondary maximum on February-9 better fits with vertical modes 3 and 4. As  
637 already mentioned in section 3.2C the propagation time of the NIW is consistent with the  
638 separation time of the first 5 vertical modes. Similar results were found by Shay et al (1989)  
639 who show that NIW induced mixing associated with the passage of hurricane Norbert in  
640 summer 1984 in the western equatorial Pacific results mainly from higher order vertical  
641 modes (3 and 4).

642 The inverse of the Richardson number expectedly displays large values in the mixed layer,  
643 frequently exceeding the critical value of 4 for which shear instabilities are expected. Below  
644 the mixed layer critical values of  $Ri^{-1}$  occur at many isolated spots along the NIW path. When  
645 computed over a large 50m scale ( $\sim 1/2$  wavelength of the NIW in the thermocline) the  
646 Richardson number is always stable (not shown). This suggests that the NIW itself does not  
647 become unstable, but that it the superposition of the NIW velocity signal on the background  
648 shear that enhances intermittent breaking at small vertical scale (10 m or less for which the Ri  
649 becomes locally unstable). The Gregg-Henyey parameterization [Gregg, 1989] is based on  
650 such an assumption. Estimates of kinetic energy dissipation rates  $\varepsilon$  were therefore performed

651 with this parameterization, which assumes a steady state GM spectrum of internal waves,  
 652 where wave-wave interactions transfer energy from large to small-scale motions. We used the  
 653 form of the *Gregg-Henyey* scaling used in *McKinnon and Gregg* [2005]:

$$654 \quad \varepsilon_{GH} = 1.8 \cdot 10^{-6} f \cosh^{-1}(N_0 / f) \frac{N^2}{N_0^2} \frac{S_{10}^4}{S_{GM}^4} \quad (7)$$

655  $N_0=3\text{cph}$  is the reference GM value,  $S_{GM}$  is the shear of the GM spectrum,  
 656  $S_{GM}^4 = 1.66 \cdot 10^{-10} (N^2 / N_0^2)^2$ ,  $N$  the in situ buoyancy frequency and  $S_{10}$  the shear computed  
 657 for a vertical distance equal to 10m. Vertical eddy diffusivity is then computed using the  
 658 Osborn (1980) relationship:

$$659 \quad K_d = \Gamma \frac{\varepsilon}{N^2}, \text{ where } \Gamma = 0.2 \text{ is an upper bound for the mixing efficiency. Note that this}$$

660 parameterization is only applicable in the interior ocean (i.e. below the mixed layer) and that  
 661 we focus on the impact of NIW on interior ocean mixing hereafter. Vertical profiles of  
 662 averaged kinetic energy dissipation rates and eddy diffusivity inferred from mooring data are  
 663 displayed in Figure 15a and b. The averaged profiles were computed over the pre-cyclone,  
 664 cyclone and post-cyclone periods. The impact of the storm is revealed by an increase of the  
 665 dissipation rate down to the base of the pycnocline (typically within 50m-100m) during the  
 666 cyclone and post-cyclone periods. The dissipation rate is twice as large during the post-  
 667 cyclone period than during the pre-cyclone period. These estimates show that the dissipation  
 668 rate is increased during and after the storm, not only in the surface mixed layer (that never  
 669 exceeds 60m thickness) but also below, probably due instability at small vertical-scale  
 670 promoted by enhanced shear along the internal wave path in the stratified ocean [Jaimes and  
 671 Shay 2010, Jaimes et al. 2011]. The impact of NIW is confined to the surface layer down to  
 672 the pycnocline during the weeks following the storm. This is consistent with the analysis of  
 673 internal wave generation showing a peak in energy flux around 90m depth associated with  
 674 near-inertial frequencies (Fig. 11 and 12 a).

675 Values of dissipation rate vary within from  $8 \times 10^{-10} \text{ W.kg}^{-1}$  to  $1 \times 10^{-7} \text{ W.kg}^{-1}$ , i.e.  
 676 significantly higher than the *Garrett-Munk* model in the first 100 m. These results are  
 677 consistent with previous estimates by Kunze et al [2006] based on LADCP/CTD profiles. The  
 678 depth integrated dissipation rate in the pycnocline (60-120m depths) reaches values  
 679 comparable to the maximum vertical energy flux (Fig.13) of  $3 \text{ mW m}^{-2}$ . At depths below 100  
 680 m and down to 1000 m depth there are no significant differences in dissipation between the  
 681 pre- and post-storm periods (not shown). At those depths, the NIW packets that we have

682 identified have been generated farther and earlier and may not be representative of Dora.  
683 Instead, they may be the result of the background high frequency wind fluctuations in that  
684 region due to, for example, convective meso-scale events. This would explain similarities at  
685 depth between the average dissipation profiles averaged during the pre- and post-cyclone  
686 periods

687 In order to estimate the contribution of near-inertial frequencies to the dissipation rate, we  
688 applied the finescale parameterization above to filtered shear (the near-inertial shear was  
689 subtracted from the total shear). The mean profile of this dissipation rate,  $\varepsilon'$ , is compared to  
690  $\varepsilon$  in Figure 15c.  $\varepsilon'$  and  $\varepsilon$  differ by a factor of up to 8, which reveals the very significant  
691 contribution of near-inertial internal waves to the dissipation rate in the top 140 meters.

692 As the result of enhanced turbulence in the upper ocean, a significant increase in eddy  
693 diffusivity  $K_d$  is observed down to the base of the pycnocline at about 80m during the post-  
694 cyclone period, with values up to  $2 \times 10^{-4} \text{ m}^2 \cdot \text{s}^{-1}$  at  $\sim 50 \text{ m}$  depth (Fig.15.b). An important  
695 implication of turbulent mixing is the resulting heat transfer to the deep ocean. We computed  
696 diffusive heat fluxes  $Q = K_d \partial_z T$  for the three periods (pre-, post- and cyclone), with a vertical  
697 mixing coefficient including or not near-inertial frequencies (Fig.15d and 15e). As expected  
698 the diffusive heat flux is predominantly directed downwards and increased during and after  
699 the storm (Fig.15a). The depth-average value of the heat flux within 40m-140m increased  
700 almost by a factor of 2 between the pre- and post-cyclone periods (with a mean value of  $-8.7$   
701  $\text{W m}^{-2}$  and  $-15.1 \text{ W m}^{-2}$  respectively). At the middle of the pycnocline, the increase in heating  
702 rate is even stronger with up to a threefold increase. The estimate of the contribution of NIW  
703 to the diffusive heat flux is striking: the depth averaged heat flux is reduced by a factor of 10  
704 when the near-inertial signal is not considered (Fig.15b).

705 A more detailed view is provided in Figure 16a with a time-depth plot of the diffusive heat  
706 flux.  $Q$  has typical negative values of  $10\text{-}50 \text{ W m}^{-2}$ , corresponding to a downward diffusive  
707 transport of heat, with increased values during the cyclone and post-cyclone periods.  
708 Downward turbulent flux is first strong just beneath the mixed layer at the end of the pre-  
709 cyclone and during the cyclone period, and then extends downward. Depths of maximum  
710 downward heat transport follow a similar pattern to that of increased shear along the NIW  
711 path (Fig.14 a) and also match the depth of maximum shear for baroclinic mode 4 and 5,  
712 illustrating again the important role of NIW.

713 Another way to estimate the impact of turbulent diffusion is to compute the local heating  
714 rate  $\partial_z(K_d \partial_z T)$ . (Fig.16b). Depths of increased local heating and cooling appear along the  
715 trajectory of the internal gravity wave packet excited locally at the mooring during the storm.  
716 Fairly large values are observed, with up to  $10^\circ\text{C month}^{-1}$  locally and a dominance of heating  
717 over cooling after the onset of the storm (note that, in comparison, the effect of penetrative  
718 solar heat flux is about  $\sim 0.5^\circ\text{C month}^{-1}$  at 40m and decreases exponentially below). We also  
719 averaged the heating rate between different isopycnals in order to provide a more quantitative  
720 assessment of the role of mixing (figure 16 and Table 2). Three intervals have been chosen  
721 that correspond respectively to the layer just below the mixed layer (22 to 23  $\text{kg m}^{-3}$ , layer  
722 L1), within the thermocline/pycnocline (23 to 24  $\text{kg m}^{-3}$ , layer L2), and below the  
723 thermocline/pycnocline (24 to 25  $\text{kg m}^{-3}$ , layer L3), The temporal evolution for each  
724 isopycnal averaged heating rate is displayed in Figure 16c. The general opposition between  
725 the heating rate for L1 (mostly cooling) and L2/L3 (mostly heating) illustrates clearly the  
726 downward transport of heat by mixing (heat is removed from below the mixed layer to warm  
727 deeper levels). Except for a mixing event during the pre-cyclone period, the frequency of  
728 surface cooling/subsurface heating events increases as time goes on with most events  
729 occurring during the post-cyclone period. Heating events also occur later within L3 than  
730 within L2, which is consistent with NIW downward propagation. The average heating rate for  
731 L3 increases from  $0.03^\circ\text{C month}^{-1}$  during the cyclone period up to  $0.27^\circ\text{C month}^{-1}$  during the  
732 post-cyclone period. In contrast the average heating rate of about  $0.4^\circ\text{C month}^{-1}$  within L2 is  
733 almost unchanged during the cyclone and post-cyclone periods. The average values in Table 2  
734 also allows for quantification of the increase in heating by vertical mixing associated with  
735 NIW. During the pre-cyclone period (characterized by little or no NIW activity), the average  
736 heating rate due to mixing is  $\sim 0.06^\circ\text{C month}^{-1}$  in the thermocline and  $0.08^\circ\text{C month}^{-1}$  below.  
737 During the post-cyclone period, characterized by strong NIW in the thermocline and below,  
738 those heating rates increase to  $0.42^\circ\text{C month}^{-1}$  and  $0.27^\circ\text{C month}^{-1}$ , respectively.

## 739 **5. Summary and discussion**

### 740 **5.1 Summary**

741 The Cirene cruise provides a one-month long record of air-sea interface and subsurface  
742 high frequency observations in the southwestern tropical ocean in early 2007. During the  
743 cruise, a tropical storm formed almost exactly at the location of a ship station and ATLAS  
744 mooring deployed during the cruise ( $8^\circ\text{S}$ ,  $67^\circ\text{E}$ ), and later developed into tropical Cyclone



745 Dora. This provides an opportunity to describe the upper ocean response to strong  
746 atmospheric forcing and generation of NIW at this climatically relevant site of the Indian  
747 Ocean [Xie *et al.* 2002, Vialard *et al.* 2009]. Weak winds ( $< 5 \text{ m s}^{-1}$ ), shallow mixed layers  
748 ( $\sim 20\text{m}$ ) and intense downward solar radiation characteristic of a break phase of the Madden-  
749 Julian Oscillation preceded the cruise. This was followed by a period of strong winds ( $\sim 10 \text{ m}$   
750  $\text{s}^{-1}$ , and wind stresses of  $\sim 0.2 \text{ N.m}^{-2}$ , i.e. characteristic values for a tropical depression) and  
751  $\sim 300 \text{ mm}$  of cumulated rainfall as the storm formed and travelled southward over the cruise  
752 site (January 25 to February 2 2007). Weaker winds then progressively returned as Dora  
753 intensified but moved away. The oceanic response was characterized by a  $\sim 2^\circ\text{C}$  cooling of the  
754 sea surface and a suppressed the diurnal cycle.

755 In response to the storm, a clear NIW response was seen in the velocity field within the  
756 oceanic mixed layer for about one week after the passage of the storm. Inertial pumping in the  
757 mixed layer drove NIW that propagated vertically into the interior ocean, as illustrated by  
758 clear upward phase propagation in the velocity field. Phase analysis of the upward-  
759 propagating part of the velocity field identifies 5 wave packets in the data. The first wave  
760 group (WG1) had current variations of  $\sim 0.25 \text{ m s}^{-1}$  within and below the pycnocline (100-200  
761 m). The deepest wave packet had amplitude of  $\sim 0.15 \text{ m s}^{-1}$  and propagates below 1000m, the  
762 maximum depth of the measurements.

763 We then identified the main characteristics of each wave packet (e.g. horizontal and  
764 vertical wavenumbers, intrinsic frequency), largely based on the method proposed by *Alford*  
765 *and Gregg* (2001). The first two wave groups (identified in the upper 400 m) had rather large  
766 horizontal scales ( $\sim 200\text{-}300 \text{ km}$ ), comparable to horizontal scales in the forcing before the eye  
767 formation, and appeared to propagate northward. The deeper identified wave groups (500 to  
768 1000 m) appeared to have smaller horizontal scales ( $\sim 80 \text{ km}$ ), and some of them displayed  
769 apparent southward propagation. All wave packets carried energy downward:  $\sim 2 \text{ mW m}^{-2}$   
770 down to 400 m for WG1-2 and  $\sim 1 \text{ mW m}^{-2}$  down to 1000 m for WG3-5. While it is difficult  
771 to compare this vertical energy flux to the wind power input from measurements at a single  
772 location, it is interesting to note that the maximum vertical energy flux at thermocline level  
773 (between 60 and 120 m) corresponds to about 10% of the maximum surface wind power  
774 input, implying that a non-negligible fraction of the energy input of this developing storm  
775 penetrated below the thermocline.

776 Finally, we diagnosed the potential influence of those NIW on mixing in the interior  
777 ocean (i.e. below the mixed layer). There was twofold increase of the kinetic energy  
778 dissipation rate in the interior ocean between the pre-cyclone period (with little internal wave

779 propagation in the interior ocean) and post-cyclone period. A simple vertical mixing  
780 parameterization identified a downward vertical mixing heat flux within the thermocline of  
781  $\sim 10 \text{ W m}^{-2}$  during the pre-cyclone period vs approximately  $-25 \text{ W m}^{-2}$  during the cyclone  
782 period and  $-35 \text{ W m}^{-2}$  during the post-cyclone period (which was also the main period of  
783 internal wave activity). The associated heat flux convergence resulted in average mixing-  
784 induced heating rates within the thermocline of  $\sim 0.42^\circ\text{C month}^{-1}$  during the post-cyclone  
785 period vs only  $0.06^\circ\text{C month}^{-1}$  during the pre-cyclone period. This suggests a strong increase  
786 of vertical mixing in the thermocline associated with the increase of vertical shear along the  
787 paths of downward-propagating internal wave energy.

## 788 **5.2 Discussion**

789 The vertical NIW energy flux ( $\sim 2.5 \text{ mW m}^{-2}$ ) induced by Dora is comparable to other  
790 estimates of baroclinic NIW energy fluxes generated by moderate to strong wind events. Qi et  
791 al [1995] estimated 2 to  $6 \text{ mW m}^{-2}$  of downward NIW energy fluxes as the result of storms in  
792 the North East Pacific (47°N) ocean. At a latitude of 6.5°S comparable to Cirene (8°S), *Alford*  
793 *and Gregg* [2001] estimated NIW baroclinic downward energy flux of  $2 \text{ mW m}^{-2}$  as the result  
794 of strong monsoon winds in the Banda Sea. However energy fluxes associated with a fully  
795 developed hurricane can be much larger. For instance *Jaimes and Shay* [2010] found a  
796 downward NIW energy flux of  $79 \text{ mW m}^{-2}$  and an upward energy flux of  $254 \text{ mW m}^{-2}$   
797 associated with hurricane Katrina. In their case, energy fluxes corresponded to a category 5,  
798 fast moving hurricane and were estimated for conditions inside geostrophic vortices that often  
799 are found to enhance vertical near-inertial wave propagation. Although they did not estimate  
800 vertical energy fluxes, *Sanford et al* [2007] found near inertial horizontal velocities reaching  
801  $\pm 1.5 \text{ m s}^{-1}$  just after the passage of hurricane Frances.

802 In this paper, we distinguish 3 different NIW groups (WG3-5) at depth ( $z > 500 \text{ m}$ ) at the  
803 mooring location. These wave groups show significant near-inertial current amplitude (up to  
804  $30 \text{ cm s}^{-1}$ ) and vertical energy fluxes of up to  $1 \text{ mW m}^{-2}$  (Fig 8, 11), which suggest that their  
805 energy efficiently propagated downward from the surface. They were however not related to  
806 Dora or any other tropical storm or cyclone in the region. They are moreover characterized by  
807 relatively short horizontal wavelengths. This may be due to the very fine scale of the  
808 background atmospheric forcing in this region, where mesoscale convective variability can  
809 induce wind variations on spatial scales of a few kilometers to a few tens of kilometers. In  
810 addition, WG3 propagates poleward whereas equatorward propagation is expected from the  $\beta$   
811 effect dispersion [*Garrett 2001*]. The background vorticity field may however have

812 influenced the propagation of these wave groups. Background vorticity  $\zeta$  indeed shifts the  
813 inertial frequency to an effective inertial frequency  $f_{eff}=f+1/2\zeta$ . Such modification of  $f_{eff}$  can  
814 be much larger than the variation of  $f$  on the  $\beta$  plane and explain poleward propagation. At  
815 mid-latitudes, where eddy variability is often strong, observations show a strong impact of  
816 meso-scale vorticity on NIW propagation [Kunze 1995, James and Shay 2010]. Mesoscale  
817 activity at the low latitude Cirene location (8°S) is however much weaker, but the deep,  
818 westward jet generated by the Indian Ocean Dipole [Vialard et al 2009] may have produced  
819 such modifications of the background vorticity. Fig 17 shows a very rough proxy of this  
820 vorticity from the meridional shear  $\left(-\frac{\partial u}{\partial y}\right)$  - of the surface zonal velocity  $u$  recorded by the  
821 Ship ADCP along a meridional transect at 67°E between January 1 and January 14 and  
822 smoothed using a running mean of 40 km. The order of magnitude of  $f_{eff}$  variations at the  
823 surface obtained from this estimate (Fig. 17) is larger than the equatorward inertial frequency  
824 decrease from the  $\beta$  effect at the ATLAS mooring and FP station (red curve). Near 8°S,  $f_{eff}$  has  
825 a local maximum, which may allow southward propagation in addition to the more classical  
826 equatorward propagation. Note that the wave will conserve its intrinsic frequency while  
827 propagating at depth and will be able to propagate poleward until its intrinsic frequency  
828 equals the local effective frequency. Clearly the effective frequency at the surface and at  
829 depth can be different and an accurate computation of the generation point and turning point  
830 location for each wave group would require knowledge of the 3D mesoscale field. We leave a  
831 quantitative computation of ray path in space and time for future modeling studies.

832 This paper suggests that a non-negligible part (~10%) of the energy injected into inertial  
833 currents by the storm may propagate below the mixed layer under the form of NIW. This  
834 energy escapes the mixed layer to be dissipated within the pycnocline and in the deep ocean.  
835 The passage of the NIW triggered by Dora for example induces significant mixing and  
836 heating rates in the pycnocline. Generation of NIW hence appears as an efficient mechanism  
837 to redistribute momentum and induce mixing below the mixed layer. As a consequence, fast  
838 propagation of NIW at depth can reduce storm-induced mixing in the surface layer and hence  
839 the surface cooling, as shown by James and Shay [2010] after hurricanes Katrina and Rita.  
840 We can therefore expect a climatic impact of NIWs, notably in regions of strong ocean  
841 atmosphere interactions as the Cirene region [e.g. Xie et al. 2002, Vialard et al. 2009]. The  
842 very local nature of the measurements collected during the cruise does not allow us to  
843 investigate in detail the influence of the associated mixing on the ocean thermal structure, nor  
844 the fate of the energy that is injected in the deep ocean. Furthermore, while Cirene

845 measurements are interesting because of the intense air-sea coupling in this region, the  
846 location where maximum cyclone intensity (and energy transfer to the ocean) occurred was  
847 further south.

848 A good representation of NIW generation, propagation and dissipation is needed in Ocean  
849 General Circulation Models (OGCMs) in order to characterize the climatic impact of NIW  
850 energy. Although horizontal resolution of state-of-the-art OGCMs is generally sufficient to  
851 represent cyclones and storms-induced NIWs, two difficulties arise. First, atmospheric forcing  
852 at very fine scale (e.g., the very strong winds within the cyclone eyewall) is not resolved by  
853 current re-analysis products, which are used to force the OGCMs (horizontal resolution of 10  
854 km are probably necessary to resolve the basic eye and eyewall structure in the atmospheric  
855 forcing, *Halliwel et al.* 2011). Second, the use of current parameterizations of dissipation  
856 such as the Turbulent Kinetic Energy (TKE) based parameterization [*Mellor and Yamada*  
857 1982] and the use of geopotential coordinates [*Levaillant* 2009] result in an artificial damping  
858 of internal waves. *Leclair and Madec* [2011] recently proposed new vertical coordinates that  
859 reduce the artificial damping on NIWs and may result in a better representation of internal  
860 waves in high resolution OGCMs. A more physically relevant parameterization of internal  
861 wave-induced dissipation may then be implemented from the most recent formulations  
862 [*Gregg* 1989, *Kunze* 2006]. *Vincent et al.* [2011ab] have developed an interesting framework  
863 to include tropical cyclone wind forcing in a general circulation model. We aim at using this  
864 framework in a relatively high resolution ( $1/4^\circ$ ) model of the Indian Ocean, in order to study  
865 the effect of NIW at large spatial scales and seasonal timescales.

866 Finally, we also observed clear energy peaks at the diurnal and semi-diurnal timescale,  
867 associated to internal tides. Internal tides are indeed expected in this region, where a mid-  
868 ocean ridge (see figure 1b) is associated with rugged bottom topography. The stronger mixing  
869 in the pycnocline observed during post-cyclone period is clearly associated with NIW, but as  
870 opposed to the sporadic forcing of NIW by tropical depressions or cyclones, tidal forcing is  
871 ever present and internal tidal mixing is probably important for the longer term heat budget.  
872 We will be discussed pursue this topic in a separate paper on the basis of these observations  
873 and a simple linear internal tide model [*Cuypers et al, in prep.*].

874

875 **Acknowledgements:** We thank Claudie Marec from INSU and Jacques Grelet from IRD  
876 for their technical support in the deployment of the mooring, collection of the CTD/LADCP  
877 profiles and the processing of the data. B. Luong implemented the Schütze and Schwetlick

878 free-knot spline algorithm that we use to isolate wave groups. JV is funded by Institut de  
879 Recherche pour le Développement (IRD). MJM is funded by NOAA. The LEFE/IDAO  
880 program of the CNRS (Centre National de la Recherche Scientifique) also supported this  
881 work. This is PMEL Contribution number 3804.

882

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1034 **Tables**

1035

Wave group and depth interval (m)	$f_{eff}/f$	$\omega/f$	$\lambda_z(m)$	$\lambda_h(km)$	$c_{gz}$ (m day <sup>-1</sup> )	$c_{gh}$ (m s <sup>-1</sup> )*	Propagation angle to east
WG1 [30-100]	[0.93-1.0]	[1-1.02]	[90-160]	[250-500]	[2-5]	[0.07-0.14]	[70 -150]
WG1m [30 100]	[0.87-0.92]	[0.96-0.98]	[100-140]	[250-350]	[2-5]	[0.06-0.12]*	[110-180]*
WG1 [100-200]	[1.0 -1.15]	[1.18-1.25]	[200-500] [800-1200]*	[250-550] [800-2000]*	[10-40]	[0.25-0.4]	[50-150]
WG1-2 [230-450]	[1.05-1.12]	[1.2-1.3]	[300-750] [500-700]*	[180-300] [200-400]*	[30-100]	[0.15-0.3]	[50 -100]
WG3[500-700]	[1.05-1.2]	[1.2-1.35]	[220-380] [150-250]*	[50-130] [40-100]*	[10-40]	[0.05-0.1]	[260-330]
WG4 [680-850]	[1.05-1.2]	[1.25-1.6]	[200-350] [120-200]*	[50-90] [30-50]*	[8-60]	[0.04-0.12]	[100-200]
WG5[850-1000]	[1-1.05]	[1.15-1.6]	[250-600] [150-300]*	[35-75] [30-50]*	[40-90]	[0.06-0.15]	[250-350]

1036 Table 1 WG mean properties in average depth ranges (indicated in brackets in the left  
 1037 column), estimated from in-situ data. The WG characteristics are in general estimated from  
 1038 FP station profiles during Leg2, except WG1 in the 30-100m range for which estimates from  
 1039 the ATLAS mooring (labeled WG1m) are also provided for the Leg2 period. The \* symbol  
 1040 indicates the wavelength in WKB coordinates.

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interval	[22-23] kg m <sup>-3</sup>	[23-24] kg m <sup>-3</sup>	[24-25] kg m <sup>-3</sup>
Leg1	-0.13°C month <sup>-1</sup>	0.06°C month <sup>-1</sup>	0.08°C month <sup>-1</sup>
Inter-leg	-0.13°C month <sup>-1</sup>	0.44°C month <sup>-1</sup>	0.03°C month <sup>-1</sup>
Leg2	-0.26°C month <sup>-1</sup>	0.42°C month <sup>-1</sup>	0.27°C month <sup>-1</sup>

1044 Table 2: Budget of heating between different isopycnals: just below the mixed layer (22 to 23  
 1045 kg/m<sup>3</sup>), within the pycnocline (23 to 24 kg/m<sup>3</sup>), and below the pycnocline (24 to 25 kg/m<sup>3</sup>).  
 1046 Averaged values during leg1, the inter-leg period and leg2 are displayed. The leg1 is  
 1047 characteristic of a period with little NIW breaking, while the leg2, after the passage of the  
 1048 Dora storm, is characterized by intense NIW wave activity, and associated mixing.

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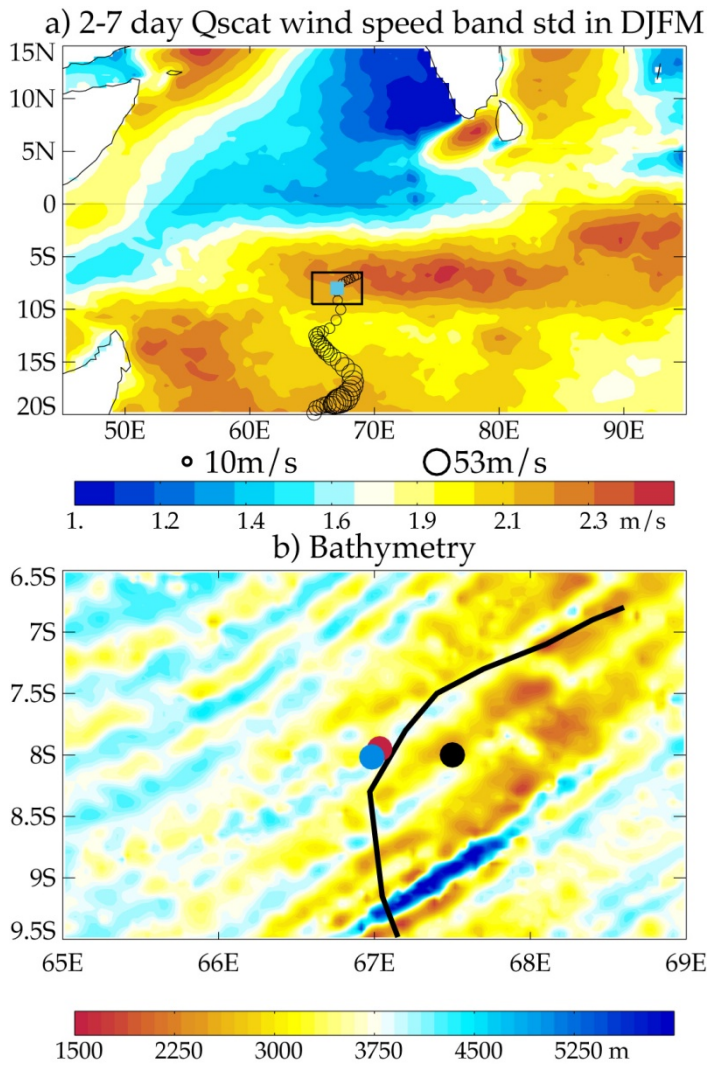
$C_1=2.62 \text{ m s}^{-1}$	$C_2=1.65 \text{ m s}^{-1}$	$C_3=1.05 \text{ m s}^{-1}$	$C_4=0.71 \text{ m s}^{-1}$	$C_5=0.59 \text{ m s}^{-1}$
$t_1=0.20 \text{ IP}$	$t_2=0.40 \text{ IP}$	$t_3=0.86 \text{ IP}$	$t_4=1.74 \text{ IP}$	$t_5=2.52 \text{ IP}$
$f/k_h C_1=0.49$	$f/k_h C_2=0.78$	$f/k_h C_3=1.22$	$f/k_h C_4=1.8$	$f/k_h C_5=2.19$

1053 Table 3: Phase speed ( $c$ ), separation time ( $t$  in inertial periods, IP) and ratio of the typical  
 1054 cyclone horizontal forcing length-scale to the Rossby radius ( $f/kC$ ) for the first four vertical  
 1055 modes.

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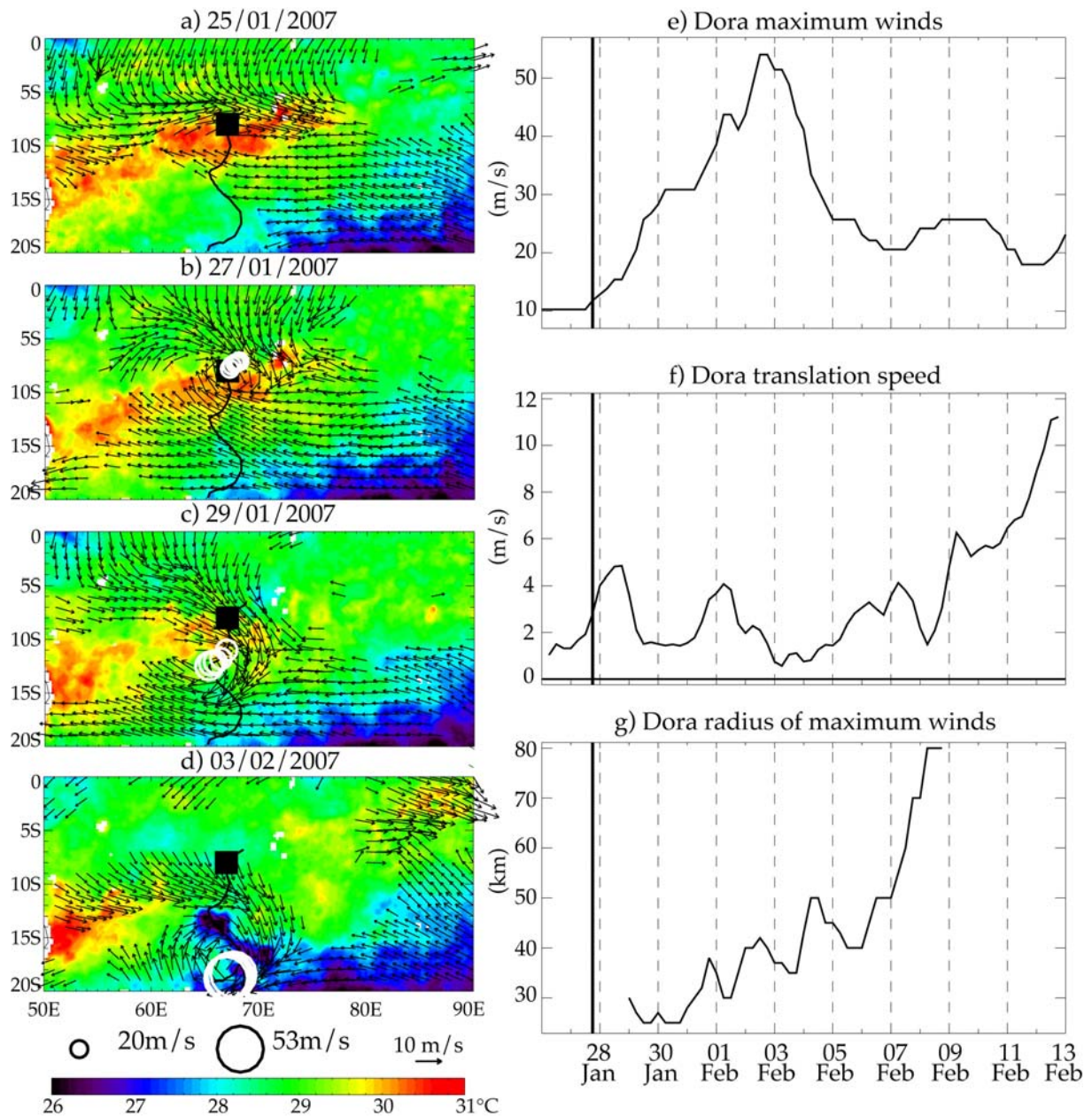
1058 **Figures**



1059

1060 **Figure 1(a)** the location of the cruise long station is indicated by a blue square on figure 1a,  
1061 while the box indicates the zoom of the cruise region shown on figure 1b. The open circles  
1062 indicate the Dora cyclone location and their size the maximum wind intensity from the  
1063 IBTraCs database. On figure 1b, the storm is indicated by a thick line and the bathymetry is  
1064 shown in colors. The black circle on figure 1b indicates the Suroit (and CTD profiles) mean  
1065 location. The blue circle indicates the ATLAS mooring location. The red circle indicates the  
1066 ATLAS ADCP location.

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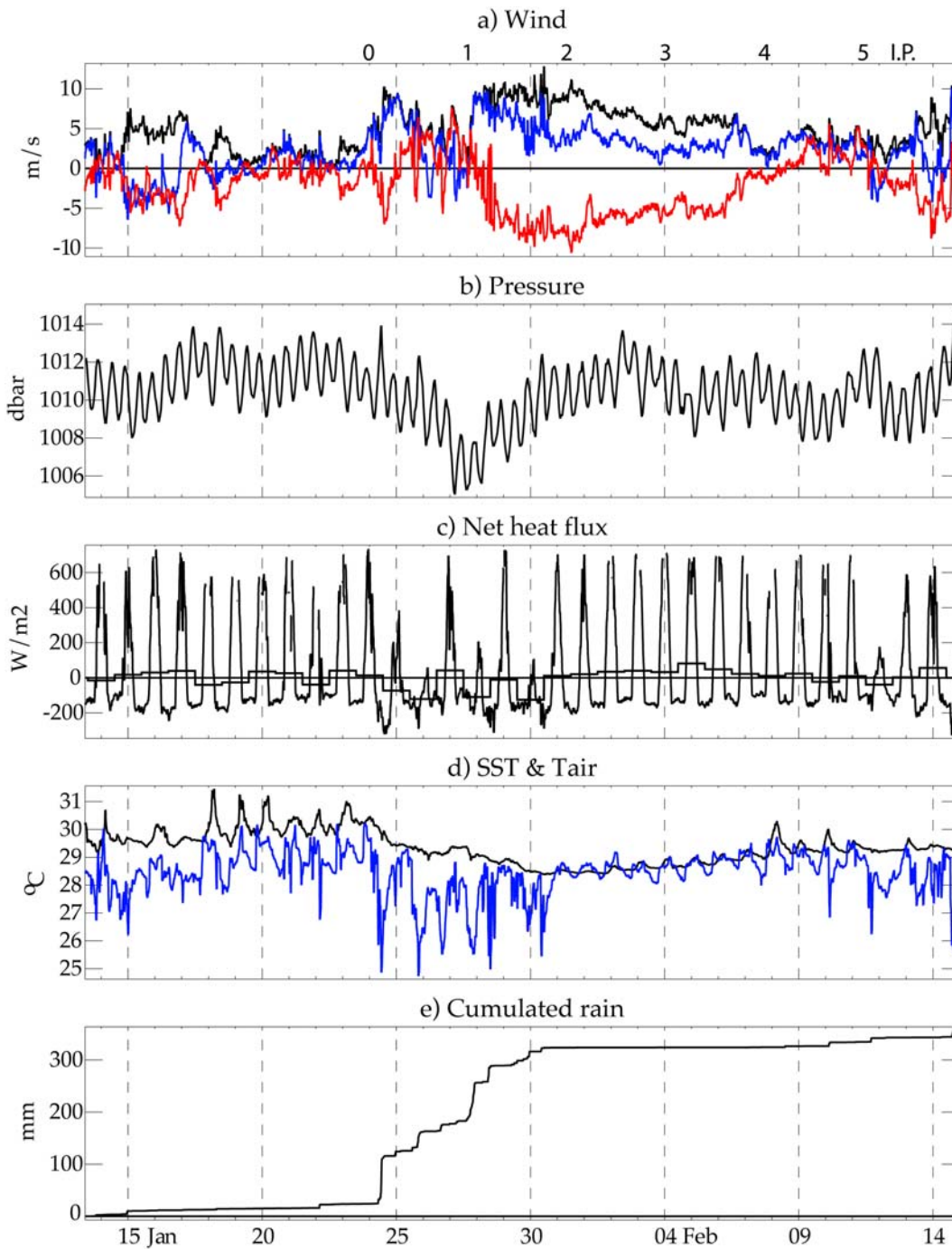


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1069 **Figure 2** Panels (a,b,c,d) Qscat wind map (arrows) and TMI SST maps (color scale). The  
 1070 open circles show Dora trajectory (the maximum wind intensity is indicated by the size of the  
 1071 open circle, with a scale below panel d), the black square shows the ATLAS mooring and FP  
 1072 station location. Panels (e,f,g), characteristics of the Dora Cyclone obtained from Météo  
 1073 France regional center in La Réunion. (e) Dora maximum winds (m/s) (f) Dora translation  
 1074 speed (m/s) (g) Dora radius of maximum winds (km). The black vertical line indicates the  
 1075 date at which Dora center is closest to the TC mooring. At this date, Dora was still at the  
 1076 “tropical storm” stage, and the eye was not fully formed, which explains why estimates of the  
 1077 radius of maximum wind are only available later.

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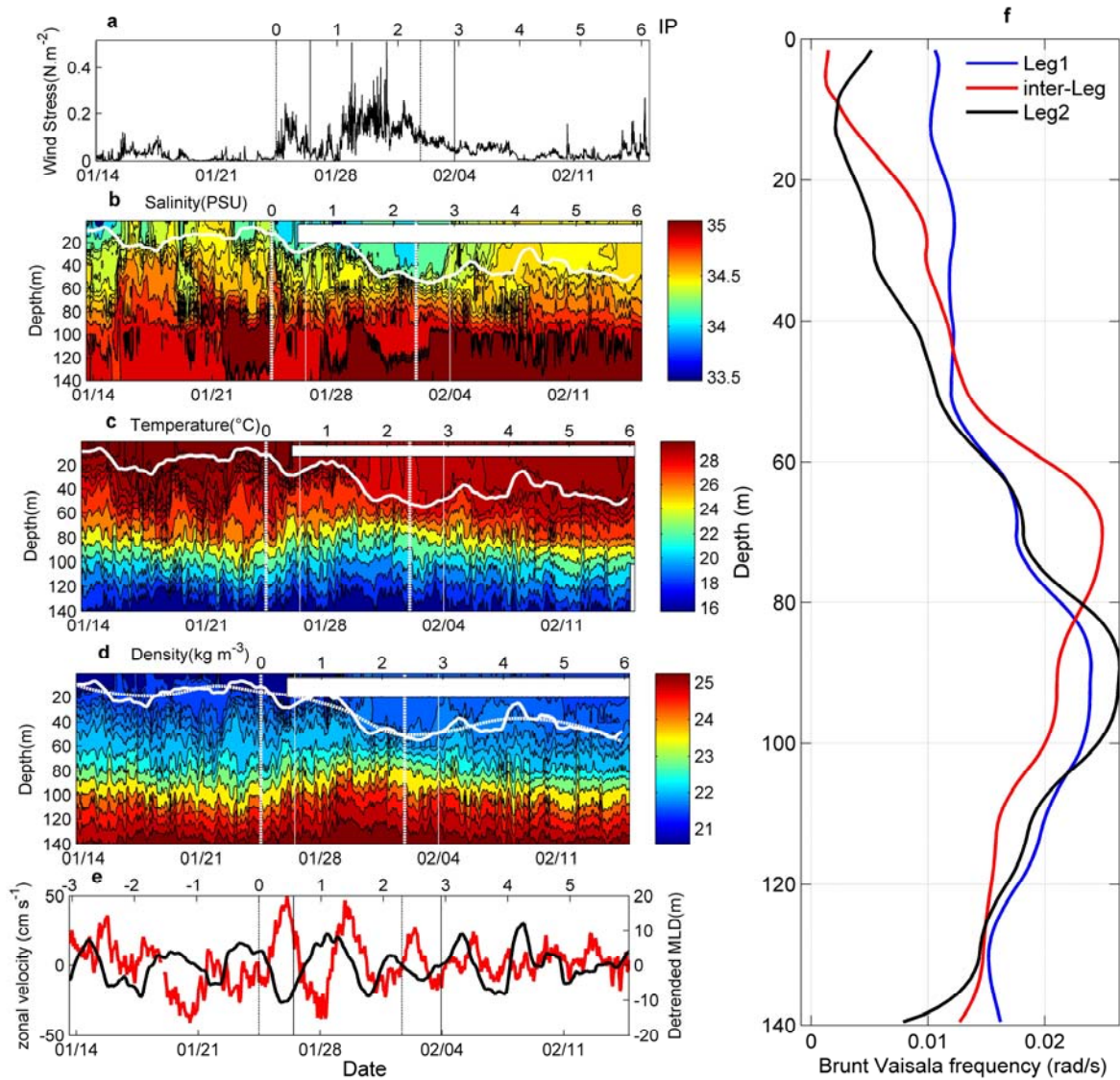


1080

1081 **Figure 3** Meteorological data from the ATLAS mooring (a) Wind stress, red  $\tau^x$ , blue  $\tau^y$  black  
 1082 total, (b) Pressure, (c) net heat flux (with the thicker line indicating the daily mean), (d) air  
 1083 temperature (blue) and sea surface temperature (black) (e) Accumulated precipitation. These  
 1084 plots were computed from the 10-minute average ATLAS mooring data, with a 50-minute  
 1085 median filter. The net heat flux was computed by applying the COARE v3 bulk algorithm.  
 1086 The time axis below each plot indicates the dates, while the time axis above the upper plot  
 1087 indicates the number of inertial periods after the first wind burst (e.g. after the 24<sup>th</sup> of Jan  
 1088 2007).

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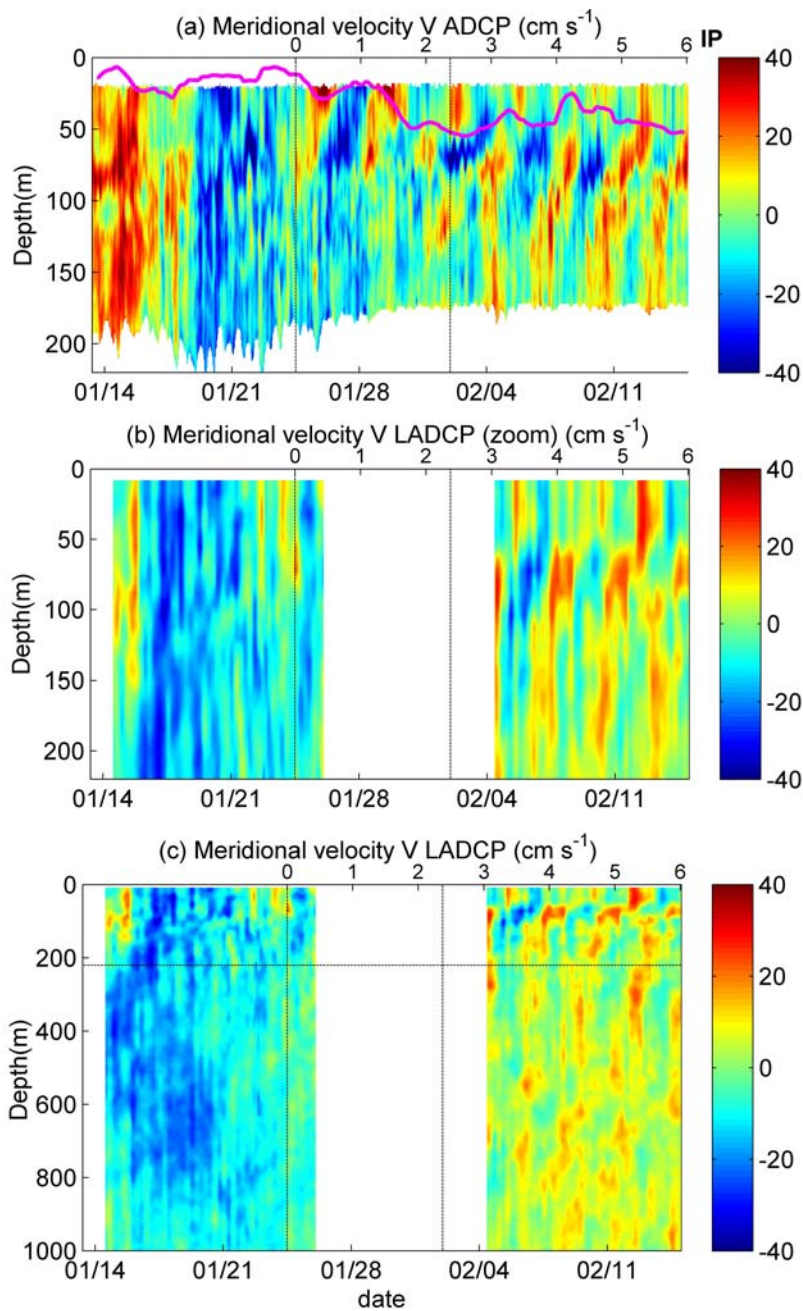
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**Figure 4** (a) Wind stress, (b) density anomaly, (c) temperature, (d) salinity, (e) mixed layer depth from which a nonlinear trend (white dashed line in d) has been subtracted (black) and zonal velocity at 26 m depth (red), (f) leg and interleg averaged Brunt Vaisala frequency. All fields are at the ATLAS mooring location (8°S, 67°E). The thick white line represents the mixed layer depth. The vertical dashed lines indicate the beginning and end of the “cyclone period” and the plain vertical lines delimit the interleg period. The time axis below each plot indicates the dates, while the time axis above the plots indicates the number of inertial periods after the first eyewall passage (e.g. after the 24<sup>th</sup> of Jan 2007).

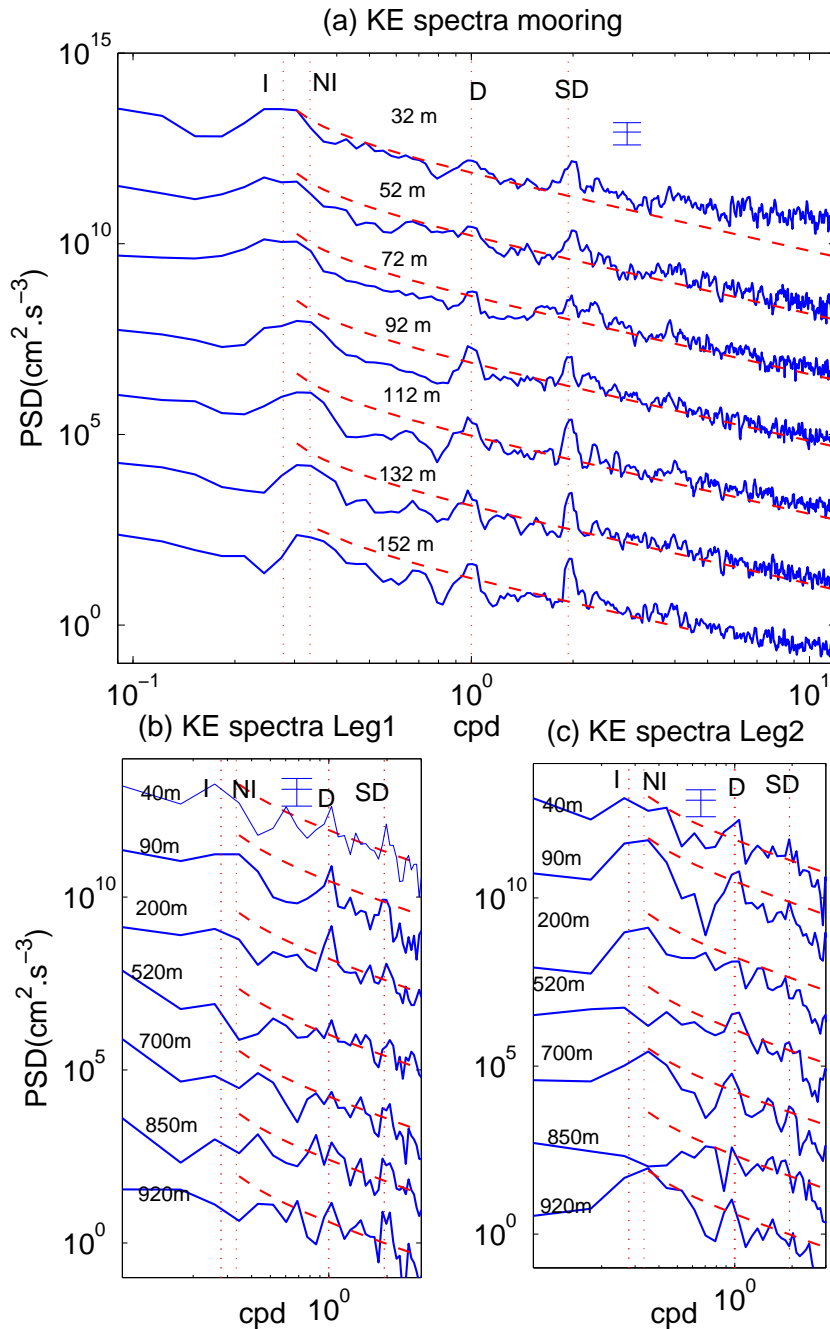


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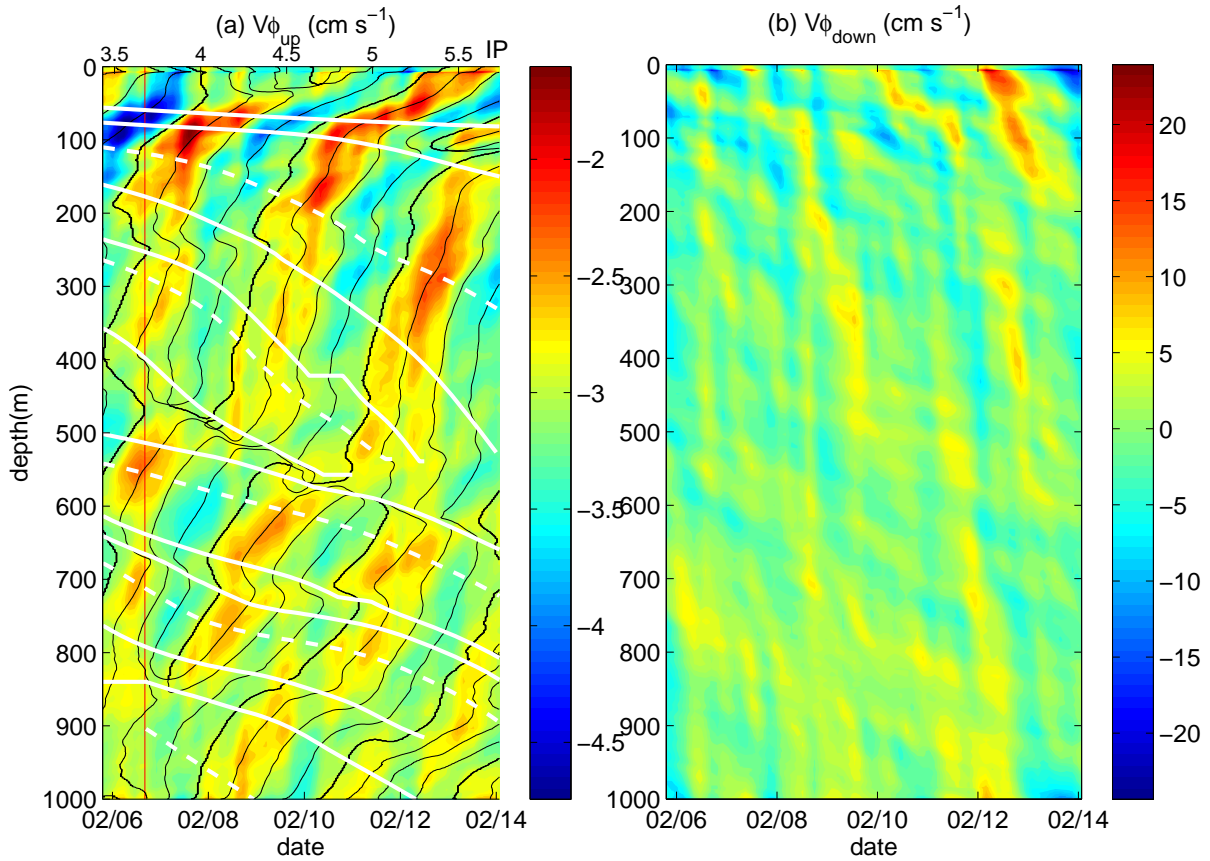
1103

1104 **Figure 5** Meridional velocity from (a) the ADCP at the ATLAS mooring (the mixed layer  
1105 depth is indicated by the magenta line) (b) LADCP at the FP station (8°S, 67°30'E), zoomed  
1106 over the top 220 m (same depth range as in a), (c) same as (b) but for the full depth range of  
1107 the LADCP. The time axis below each plot indicates the dates, while the time axis above the  
1108 plots indicates the number of inertial periods after the first eyewall passage (e.g. after the 24<sup>th</sup>  
1109 of January 2007). The ADCP mooring provides currents in the upper 200m at a high sampling  
1110 rate (panel a), while the lowered ADCP provides currents down to 1000 m with a profile  
1111 approximately every 6 hours (panels b, c). The dashed horizontal line on panel c indicates the  
1112 lower limit of the plotting range of panels a and b.



1113

1114 **Figure 6** (a) Spectra of horizontal kinetic energy computed from ADCP measurements at the  
 1115 ATLAS mooring. The dashed lines show the Garret and Munk spectra. I , NI, D and SD mark  
 1116 Inertial (at 8°S), Near Inertial, Diurnal and Semi Diurnal frequencies, (b) and (c) Spectra of  
 1117 horizontal kinetic energy computed from LADCP at the FP station during leg1 and leg 2. The  
 1118 power spectra were computed every 4m at depths ranging from 22 m to 162 m for the ATLAS  
 1119 mooring ADCP data and every 8m from 0 to 1000 m for the FP station L-ADCP data.  
 1120 Weighted ensemble averages of the spectra within 20m vertical bins were then performed to  
 1121 reduce uncertainties. The 95% confidence interval is indicated on the plot. Note that for  
 1122 clarity a vertical shift of 1.7 decade was applied between each spectrum.

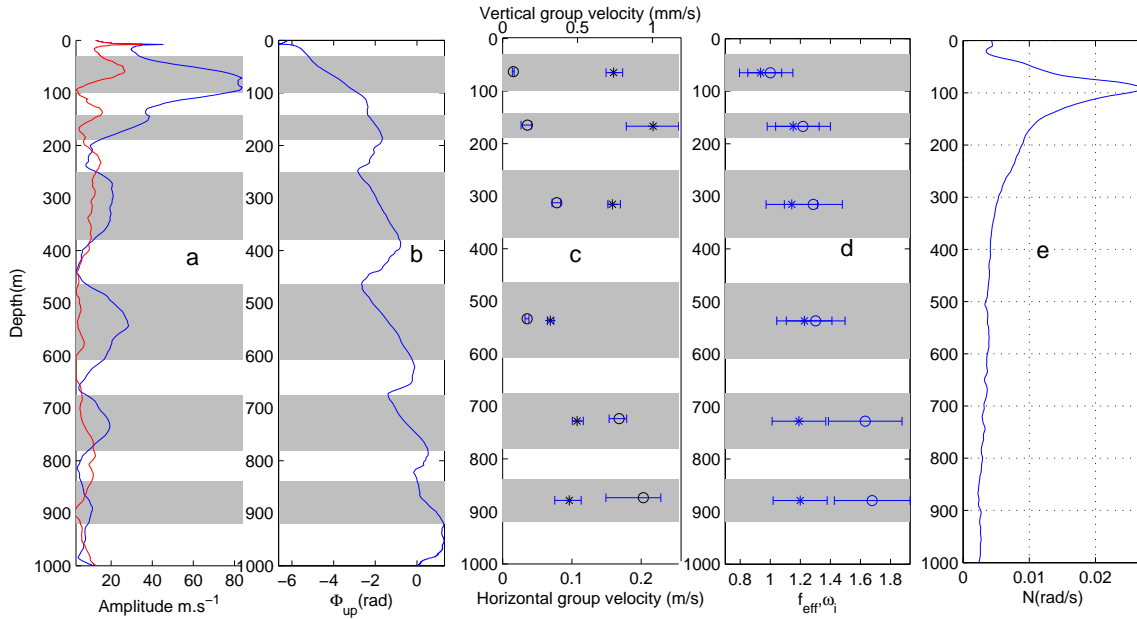


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1125 **Figure 7** FP station LADCP meridional velocity component during leg 2 separated in upward  
 1126  $v_{\phi,up}$  (left) and downward  $v_{\phi,down}$  phase propagation, black lines represent line of constant  
 1127 phase obtained after complex demodulation of rotary current  $\mathbf{U}_{\phi,up} = u_{\phi,up} + i v_{\phi,up}$ , whites lines  
 1128 represent rays trajectories computed form the vertical group velocity (see text for details). The  
 1129 time axis below the left panel indicates the dates, while the time axis above the panel  
 1130 indicates the number of inertial periods after the first eyewall passage (e.g. after the 24<sup>th</sup> of  
 1131 January 2007). The red vertical line on the left panel corresponds to the date for which  
 1132 vertical profiles are displayed on figure 9.

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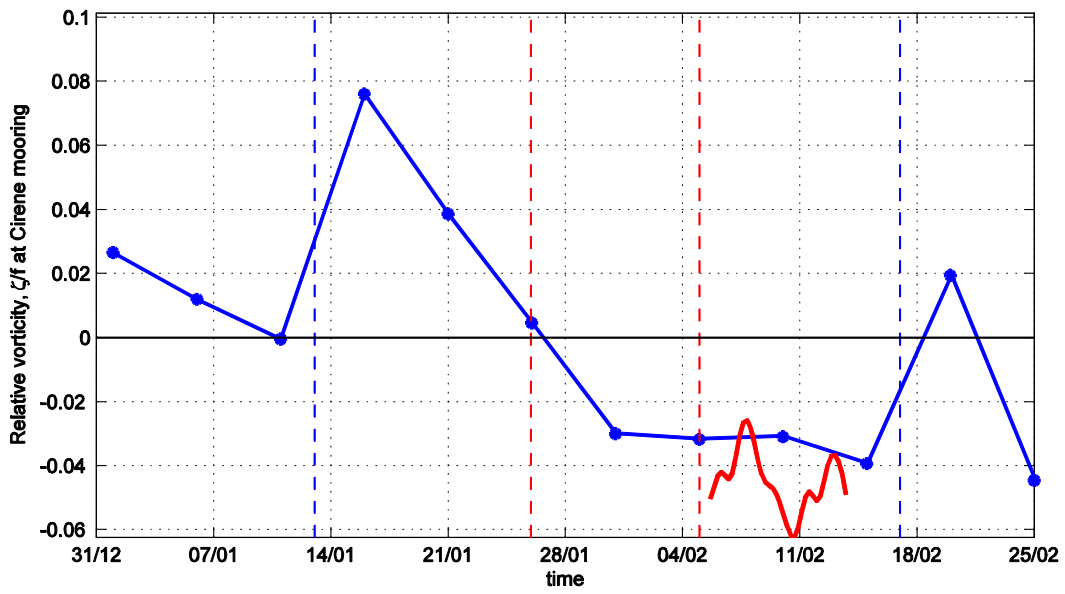


1135

1136 **Figure 8** Wave groups characteristics as computed from FP station LADCP measurements  
 1137 during leg2 around t=3.7 IP (a) Demodulated near-inertial velocity amplitude with upward  
 1138 (blue) and downward (red) phase propagation component (b) phase profile of the upward  
 1139 phase component, (c) vertical group velocity ‘o’ and horizontal group velocity ‘\*’ for each  
 1140 bins, shaded areas represent depth bins over which the group velocity is computed (d)  
 1141 Effective inertial frequency ‘\*’ and intrinsic inertial frequency ‘o’ for each depth bins,  
 1142 horizontal bars represent the error bars, (e) average stratification profile during post-cyclone  
 1143 phase.

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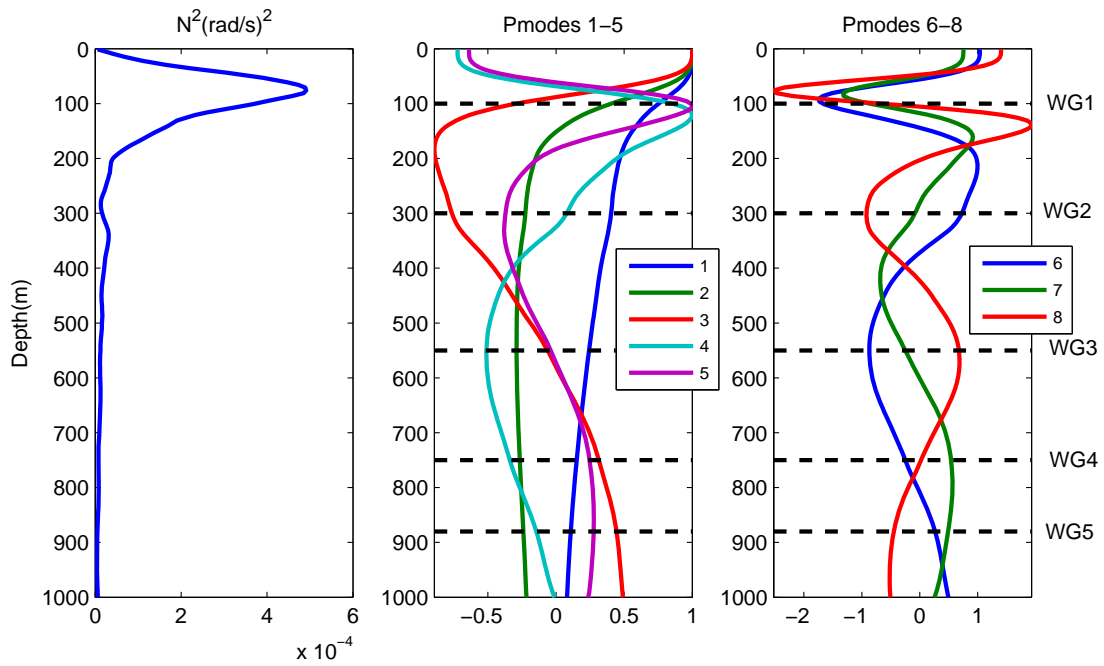


1146

1147 **Figure 9** Time series of relative vorticity at the FP station, from the OSCAR surface velocity  
1148 product in blue, and estimated from FP station measurements in red. Blue dashed vertical  
1149 lines indicate the beginning and end dates of the measurements, and the red dashed vertical  
1150 lines correspond to the passage of DORA and baroclinic wave generation.

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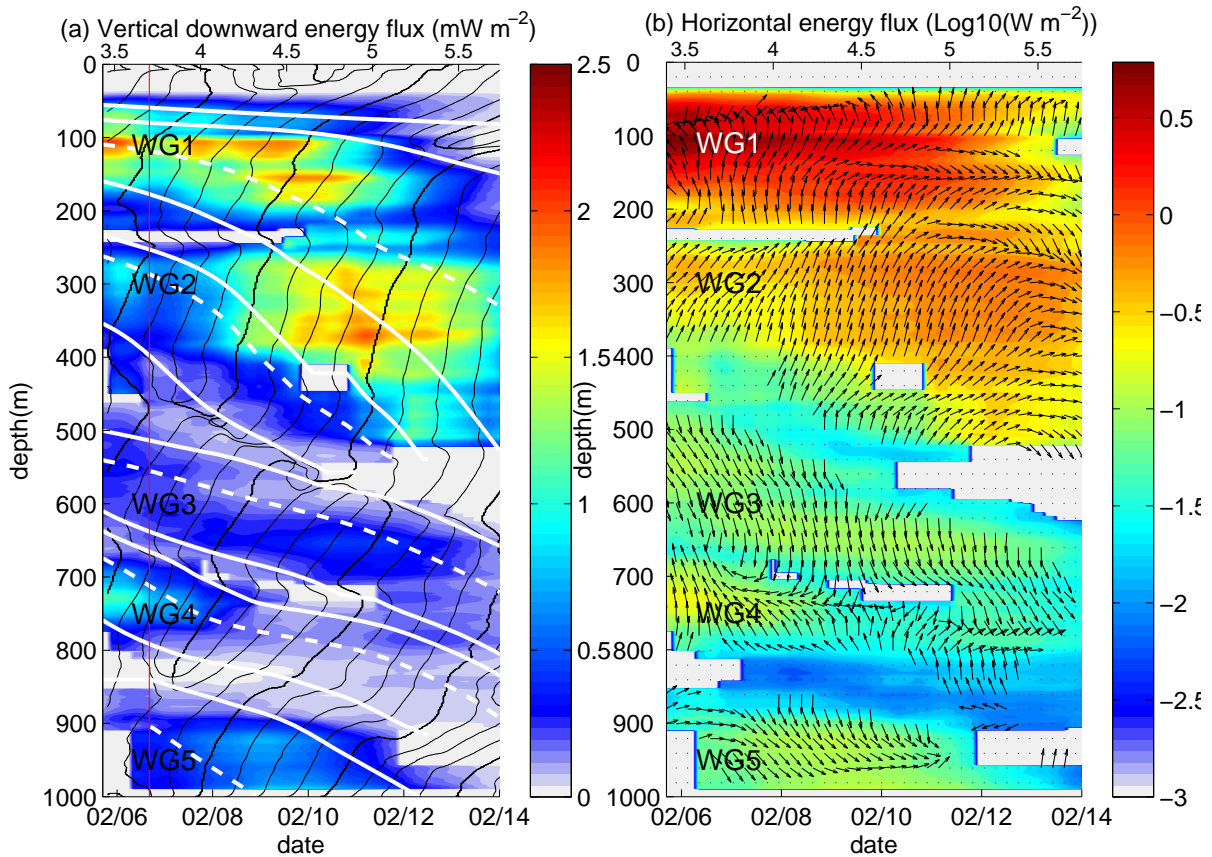
1153

1154 **Figure 10** (a) Buoyancy profile corresponding to the averaged CTD profiles over the top 500  
1155 m and extended below using the World Ocean Data Base 2009 climatology (b) corresponding  
1156 horizontal velocity modes P(1-5) and (c) P (6-8). The figure shows the first 1000 m only.  
1157 Black dashed lines show the positions of maximum demodulated near-inertial velocity  
1158 amplitude for the five wave groups around  $t=3.7$  IP as depicted in figure 8.

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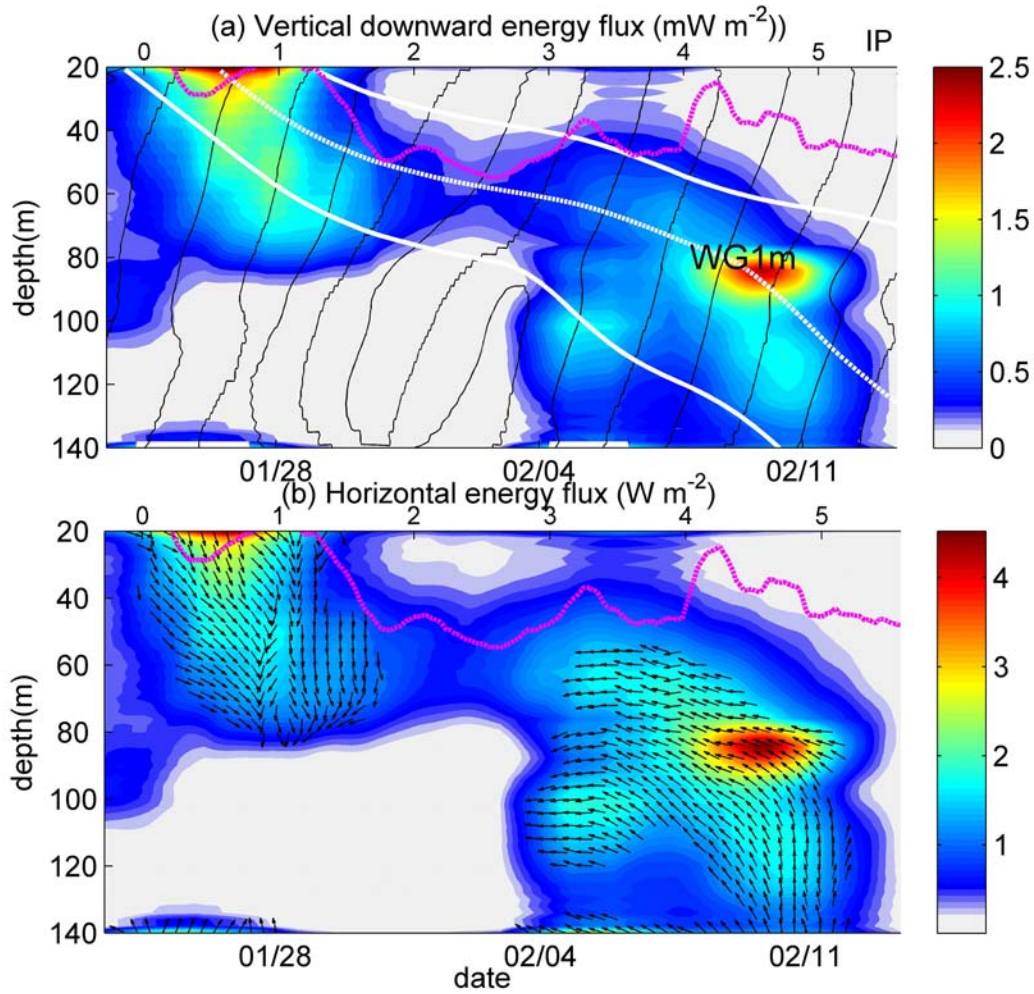
1163

1164 **Figure 11** Energy fluxes computed from leg2 LADCP data at the FP station. (a) downward  
1165 near-inertial energy flux (the magnitude is indicated by the colorbar to the right of the panel)  
1166 and lines of constant inertial phase, whites lines represent rays trajectories computed from the  
1167 vertical group velocity (see text for details), black thin lines represent phases of complex  
1168 demodulated near-inertial currents, (b) Decimal logarithm of horizontal near-inertial energy  
1169 flux modulus (the magnitude is indicated by the colorbar to the right of the panel, the arrows  
1170 represent the direction of propagation of the horizontal energy flux on an horizontal plane,  
1171 with upward arrows for a northward energy flux and downward arrows for a southward  
1172 energy flux). The time axis below each plot indicates the dates, while the time axis above the  
1173 plots indicates the number of inertial periods after the first eyewall passage (e.g. after the 24<sup>th</sup>  
1174 of January 2007).

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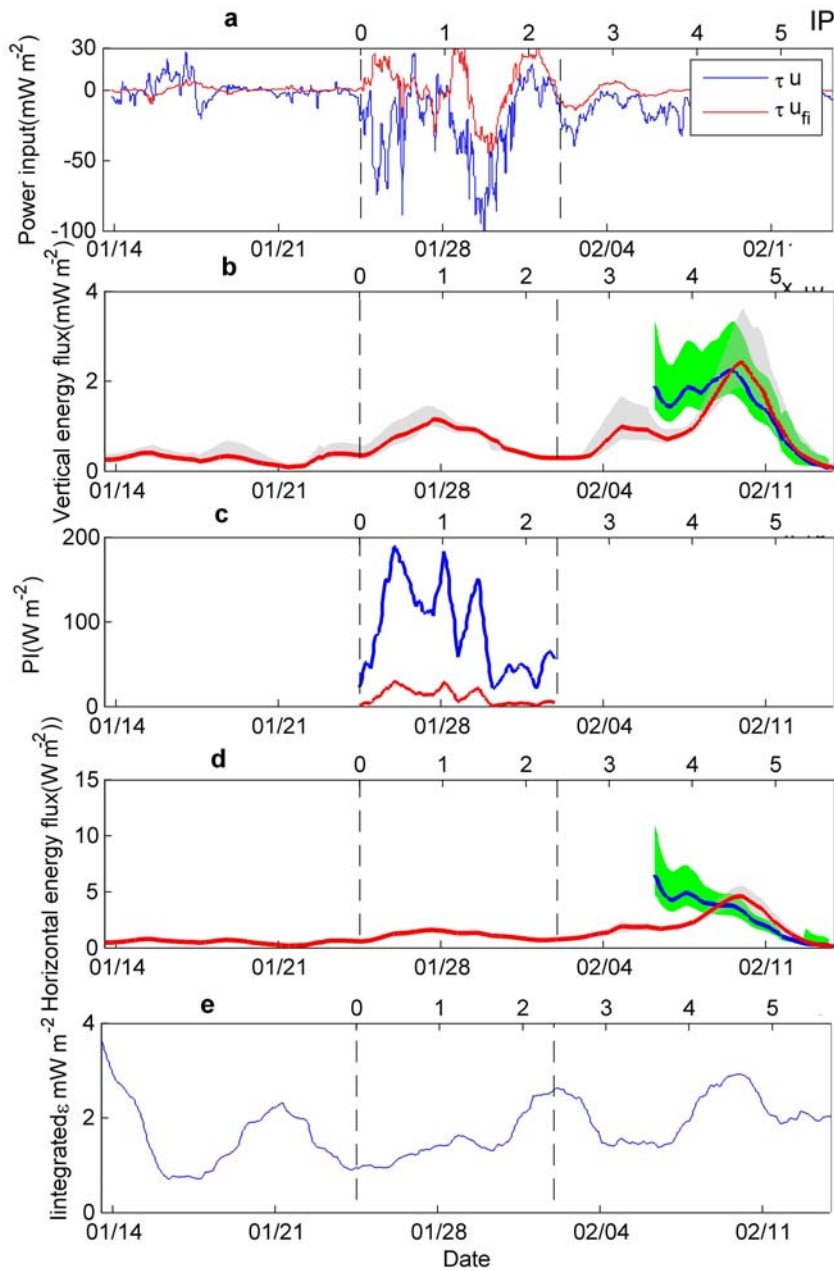


1177

1178 **Figure 12** Energy fluxes computed from ADCP mooring data for the inter-leg and leg2  
1179 period. (a) downward near-inertial energy flux and lines of constant inertial phase, whites  
1180 lines represent rays trajectories computed from the vertical group velocity (see text for details,  
1181 and magenta dashed line is the limit of the mixed layer, (b) Horizontal near-inertial energy  
1182 flux, arrows represent direction of propagation of the horizontal energy flux on an horizontal  
1183 plane. The time axis below each plot indicates the dates, while the time axis above the plots  
1184 indicates the number of inertial periods after the first eyewall passage (e.g. after the 24<sup>th</sup> of  
1185 January 2007).

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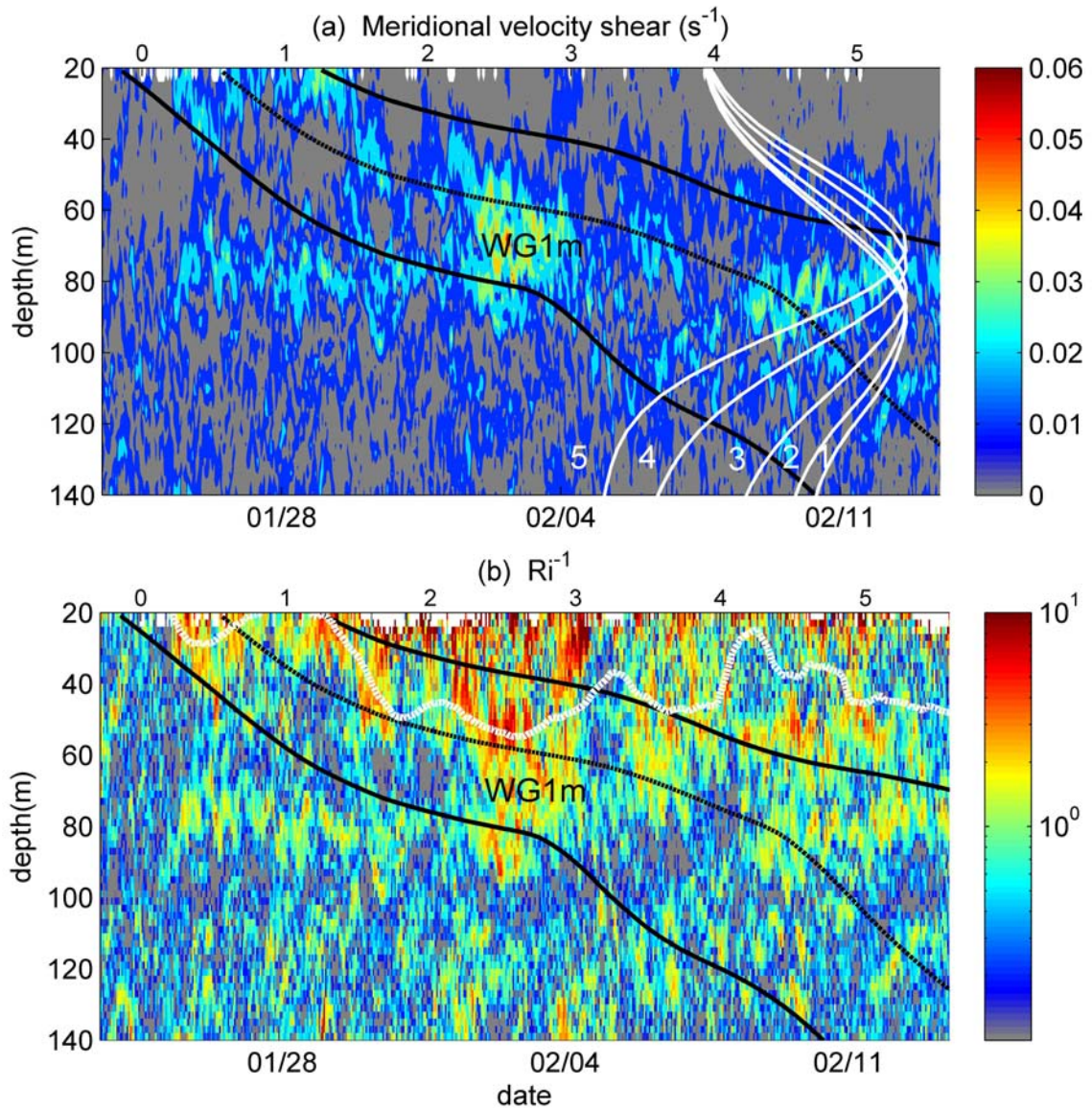


1188

1189 **Figure 13** (a) Times series of wind work into total currents (blue) and onto inertial currents  
 1190 (red), (b) maximum downward energy flux between the top (60 m) and base (120 m) of the  
 1191 pycnocline, in blue for the FP station, in red for the mooring, shaded areas represent the 95%  
 1192 Confidence intervals (c) Wind power input, blue for a fast moving storm where

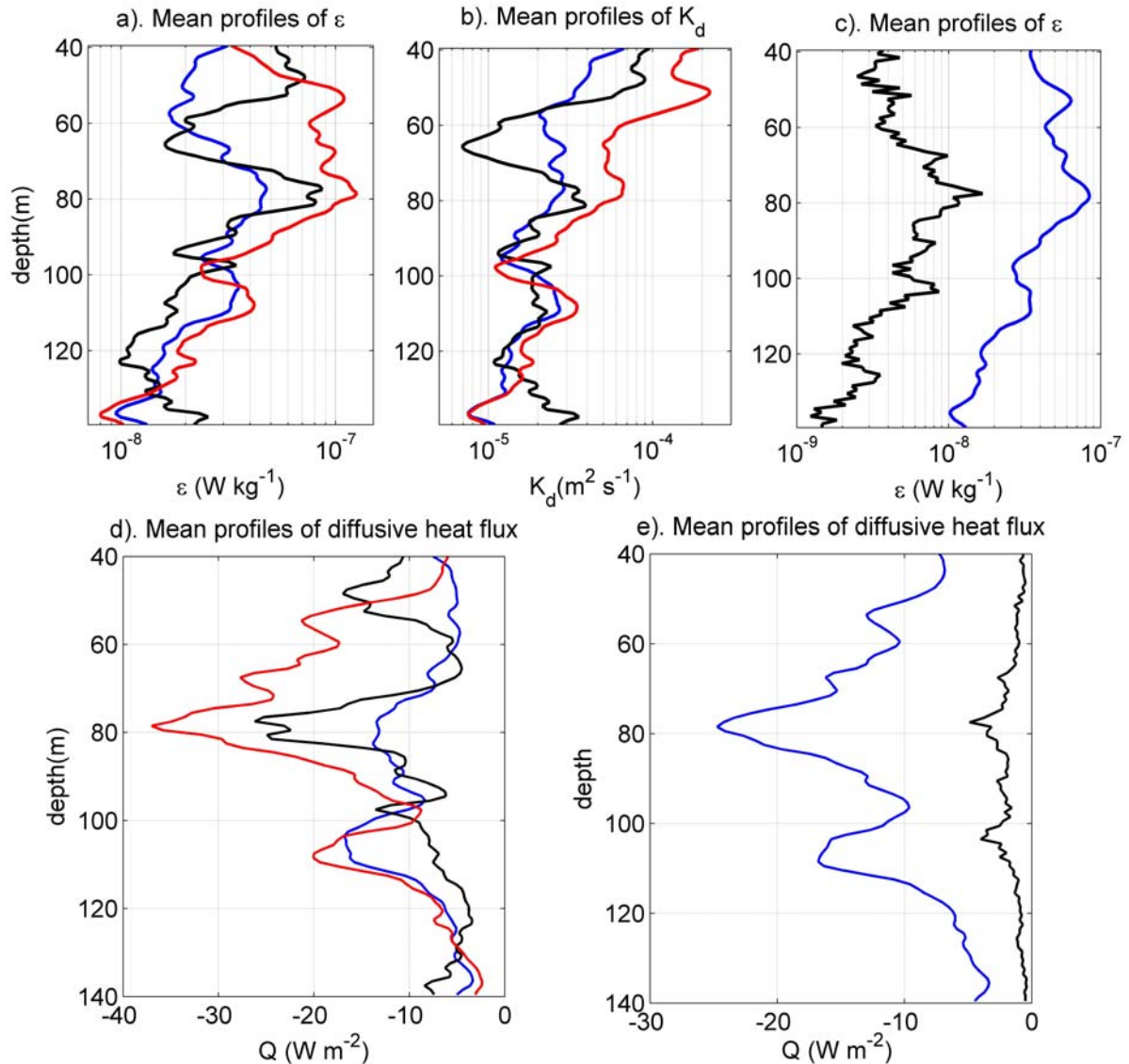
1193  $P_i = \frac{1}{2} \rho_0 U_h u_s^2$ , red for a slow moving storm ( $U_h < c_l$ ) where  $P_i = \frac{1}{2} \rho_0 u_s^3$  (d) horizontal  
 1194 energy flux in blue for the FP station, in red for the mooring, shaded areas represent the 95%  
 1195 Confidence intervals. (f) Vertically integrated dissipation between 60 and 120 m depth. The

1196 time axis below each plot indicates the dates, while the time axis above the plots indicates the  
 1197 number of inertial periods after the first eyewall passage (e.g. after the 24<sup>th</sup> of January 2007).



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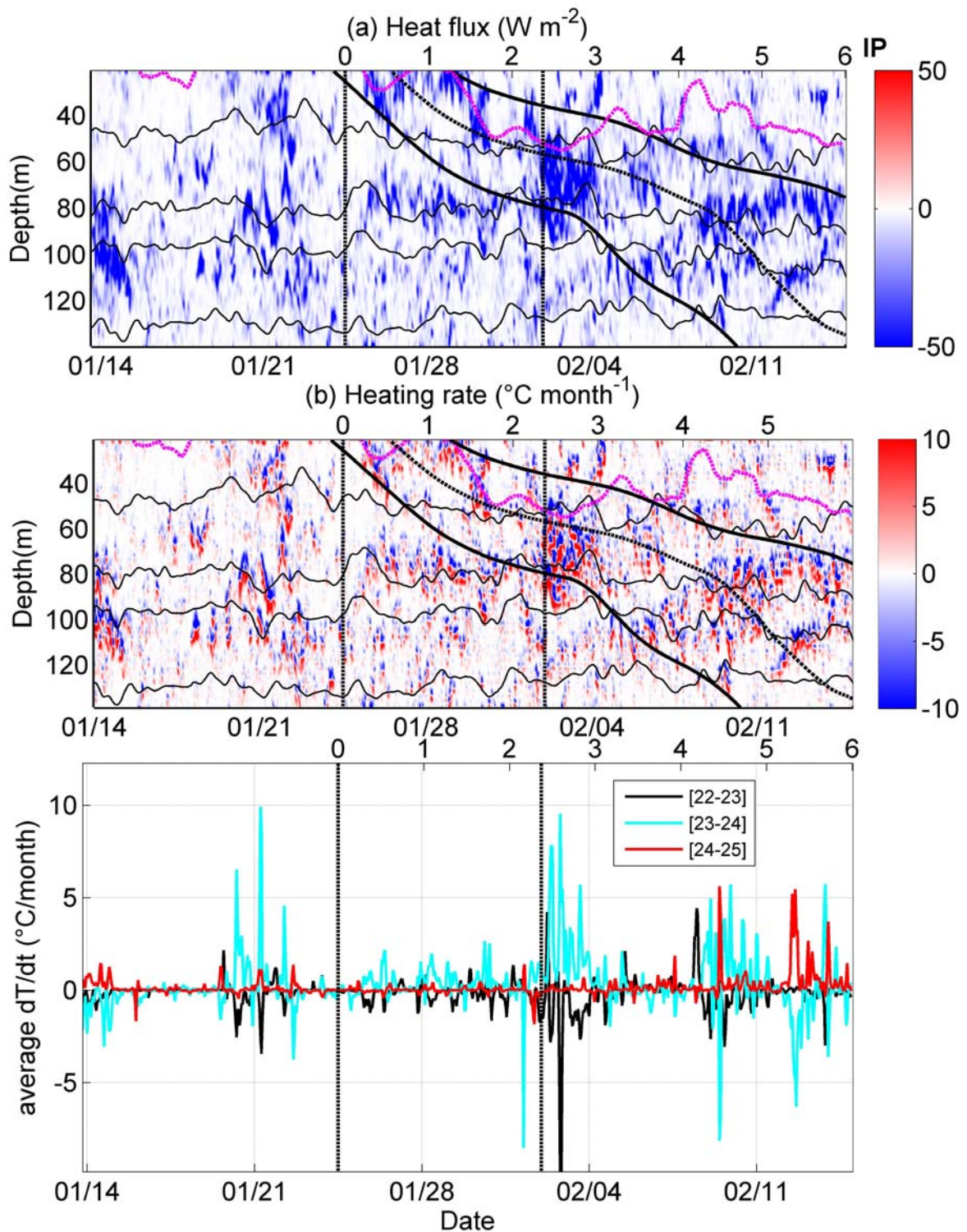
1199 **Figure 14** (a) vertical shear of the velocity modulus (computed at 4m vertical resolution). The  
 1200 black lines show NIW rays trajectories computed from the vertical group velocity (see text for  
 1201 details). The white line represent the vertical structure of the shear associated with the first  
 1202 five baroclinic modes ( $dP_n/dz$ ). (b) inverse of the Richardson number. The white dashed line  
 1203 indicates the mixed layer depth.



1204  
 1205 **Figure 15** Panels (a,b,c) estimates of dissipation rate and eddy diffusivity at the ATLAS  
 1206 mooring inferred from shear using mooring data: (a) dissipation rates  $\epsilon$  averaged over leg1 (in  
 1207 blue), inter-leg (in black) period and leg2 (in red), (b) same as (a) but for eddy diffusivity,  $K_d$   
 1208 (c) mean profile of  $\epsilon$  averaged over the entire period:  $\epsilon$  inferred from total shear is displayed  
 1209 in dark blue,  $\epsilon$  inferred from total shear minus inertial shear in black. Panels (d) and (e)  
 1210 vertical heat flux at the ATLAS mooring (d) Profile of vertical diffusive heat flux time  
 1211 averaged over leg1 (blue), inter-leg period (black) and leg2 (red), (e) Profile of vertical  
 1212 diffusive heat flux time averaged over leg2 with (blue) and without (black) the contribution of  
 1213 near-inertial currents to the vertical turbulent diffusivity.

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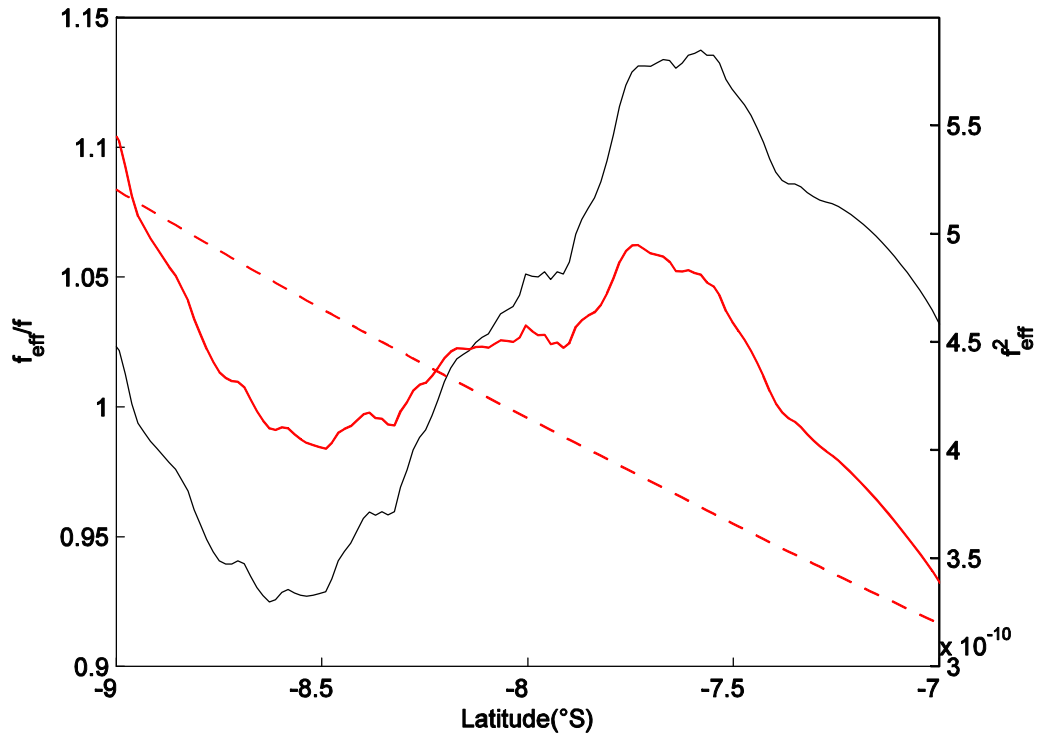




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1217 **Figure 16** Upper panel time depth plot of vertical diffusive heat flux at the ATLAS mooring,  
 1218 (b) same as (a) for the heating rate, for each plot, black lines represent isopycnal contours [22,  
 1219 23, 24, 25]  $\text{kg m}^{-3}$ ; thick black plain and dashed lines represent near-inertial waves ray  
 1220 characteristics for WG1m and magenta dashed line represents the mixed layer depth (c)

1221 Temporal evolution of the heating rate at M averaged between isopycnals [22,23], [23,24] and  
 1222 [24,25]  $\text{kg m}^{-3}$ .  
 1223



1224  
 1225 **Figure 17** Ratio of surface effective inertial frequency  $f_{eff}$  and inertial frequency  $f$  along a  
 1226  $67^\circ\text{E}$  transect, estimated from the Ship ADCP zonal velocity shear in black. Red line is  
 1227 squared surface effective inertial frequency  $f_{eff}^2$  and red dashed line is  $f^2$ .  
 1228